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Klinik und Poliklinik für Herzchirurgie am Universitätsklinikum Rostock  
Direktor: Prof. Dr. med. habil. Gustav Steinhoff

# **Stammzell -Therapie nach Myokardinfarkt: direkte Applikation oder Medikamenten-vermittelte Rekrutierung?**

Dissertation

zur Erlangung des akademischen Grades

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**Stem Cells therapy after myocardial infarction: direct  
application or drug-mediated recruitment?**

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of University of Rostock



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*“se il cuore fosse solamente sangue e citochine, non sarei mai arrivato qui  
a Carolin”*

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## List of publications included in the dissertation

- Manuscript 1** Klopsch C\*, **Furlani D\***, Gäbel R, Wagner K, Li W, Ugurlucan M, Kundt G, Zingler C, Titze U, Wang W, Ong LL, Li R, Ma N, Steinhoff G. Intracardiac Injection of Erythropoietin Induces Stem Cell Recruitment and Improves Cardiac Functions in a Rat Myocardial Infarction Model. *J Cell Mol Med.* 2009;13(4):664-79  
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- Manuscript 2** **Furlani D**, Klopsch C, Gäbel R, Ugurlucan M, Pittermann E, Klee D, Wagner K, Li W, Wang W, Ong LL, Nizze H, Titze U, Lutzow K, Lendlein A, Steinhoff G, Ma N. Intracardiac erythropoietin injection reveals antiinflammatory potential and improved cardiac functions detected by Forced Swim Test. *Transplant Proc.* 2008;40:962-6  
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- Manuscript 3** **Furlani D**, Ugurlucan M, Ong LL, Bieback K, Pittermann E, Westien I, Wang W, Yerebakan C, Li W, Gäbel R, Li R-K, Vollmar B, Steinhoff G, Ma N. Is the intravascular administration of mesenchymal stem cells safe? *Microvascular Research.* 2009;77(3):370-6. Epub 2009 Feb 26  
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- Manuscript 4** **Furlani D**, Li W, Pittermann E, Klopsch C, Wang L, Knopp A, Jungebluth P, Thedinga E, Havenstein C, Westien I, Ugurlucan M, Li R, Ma N, Steinhoff G. A transformed cell population derived from cultured mesenchymal stem cells has no functional effect after transplantation into the injured heart. *Cell Transplantation.* 2009;18(3):319-31.  
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## List of abbreviations

|                               |  |
|-------------------------------|--|
| CHF                           | Congestive heart failure                                       |
| BM                            | Bone marrow  |
| MSCs                          | Mesenchymal stem cells   |
| EPO                           | Erythropoietin   |
| SDF-1 $\alpha$                | Stromal-derived factor-1 alpha                                 |
| CXCR4                         | CXC chemokine receptor 4                                       |
| MI                            | Myocardial infarction  |
| PCI                           | Percutaneous coronary intervention                             |
| LV                            | Left ventricle   |
| LDL                           | Low density lipoprotein  |
| VCAM-1                        | Vascular cell adhesion molecule-1                              |
| MCP-1                         | Monocyte chemoattractant protein-1                             |
| TNF- $\alpha$                 | Tumor necrosis factor alpha                                    |
| IL                            | Interleukin  |
| MAPK                          | Mitogen-activated protein kinases                              |
| JAK                           | Janus kinase   |
| STAT                          | Signal transducers and activator of transcription              |
| NF- $\kappa$ B                | Nuclear factor kappa-light-chain-enhancer of activated B cells |
| AP-1                          | Activator protein 1  |
| C/ATF                         | C/ATF-enhancer binding protein                                 |
| gp130                         | Glycoprotein 130   |
| PPAR- $\gamma$                | Peroxisome proliferator-activated receptors                    |
| TLR                           | Toll-like receptor   |
| CD                            | Cluster of differentiation                                     |
| ROS                           | Reactive oxygen species  |
| H <sub>2</sub> O <sub>2</sub> | Hydrogen peroxide  |
| HMGB1                         | High-mobility group box 1,                                     |
| RAGE                          | Receptor for advanced glycation endproducts                    |
| LPS                           | Lipopolysaccharides  |
| Akt                           | Akt/protein kinase B   |
| SCF                           | Stem cell factor   |
| Ca <sup>2+</sup>              | Calcium  |
| ATP                           | Adenosine-5'-triphosphate                                      |
| Na <sup>+</sup>               | Sodium cation  |
| K <sup>+</sup>                | Potassium cation   |
| H <sup>+</sup>                | Hydron   |
| HCO <sup>3-</sup>             | Hydrogencarbonate anion  |
| H <sub>2</sub> O              | Water  |
| NO                            | Nitric oxide   |
| DNA                           | Deoxyribonucleic acid  |
| TGF                           | Transforming growth factor                                     |
| MMPs                          | Matrix metalloproteinases                                      |
| AT1                           | Angiotensin receptor 1   |
| OPN                           | Osteopontin  |
| VEGF                          | Vascular endothelial growth factor                             |
| bFGF                          | Basic fibroblast growth factor                                 |
| Ang-2                         | Angiopoietin 2   |
| HGF                           | Hepatocyte growth factor                                       |
| iNOS                          | Inducible nitric oxide synthase                                |
| ELR                           | Glutamic acid-leucine-arginine motif                           |
| IP-10                         | Interferon-gamma-induced protein 10                            |
| CABG                          | Coronary artery bypass graft surgery                           |
| CSCs                          | Cardiac stem cells   |
| BMC                           | Bone-marrow-derived cell                                       |



|            |  |
|------------|--|
| BMMNC      | Bone-marrow mononuclear cell                                       |
| CPC        | Circulating progenitor cell  |
| EPC        | Endothelial progenitor cell  |
| SMB        | Skeletal myoblast  |
| TEIM       | Transendocardial intramyocardial                                   |
| VEGFR2     | Kinase insert domain receptor (KDR)                                |
| ES         | Embryonic stem   |
| Kit        | C-kit receptor (CD117)   |
| Sca 1      | Ataxin 1   |
| Isl 1      | Islet-1 transcription factor                                       |
| Oct 3/4    | Octamer 3/4  |
| Klf 4      | Krüppel-like family of transcription factor 4                      |
| Sox 2      | Sex determining region Y-box 2                                     |
| cMyc       | Myelocytomatosis oncogene  |
| iPS        | Induced pluripotent stem   |
| MN         | Mononuclear  |
| BMMSCs     | Bone-marrow-derived mesenchymal stem cells                         |
| G-CSF      | Granulocyte colony-stimulating factor                              |
| Bcl 2      | B-cell lymphoma 2  |
| mRNA       | Messenger ribonucleic acid   |
| cTnT       | Cardiac troponin t   |
| RV         | Right ventricle  |
| eNOS       | Endothelial nitric oxide synthase                                  |
| HSCs       | Haematopoietic stem cells  |
| SEM        | Standard error mean  |
| MIC        | Myocardial infarction control                                      |
| Pmax       | Maximum pressure   |
| dp/dt max  | Peak rate of maximum pressure rise                                 |
| -dp/dt max | Peak rate of maximum pressure decline                              |
| EDV        | Enddiastolic volume  |
| ESV        | Endsystolic volume   |
| SV         | Stroke volume  |
| EF         | Ejection fraction  |
| SW         | Stroke work  |
| HR         | Heart rate   |
| NIZ        | Non-infarcted zone   |
| IZ         | Infarcted zone   |
| DAPI       | 4',6-diamidino-2-phenylindole                                      |
| SCs        | Stem cells   |
| VLA        | Very late antigen  |
| SD         | Standard deviation   |
| ICAM-1     | Intercellular adhesion molecule-1                                  |
| LFA        | Lymphocyte function-associated antigen                             |
| NOS        | Nitric oxide synthases   |
| L-NAME     | N-nitro-L-arginine-methylester-hydrochloride                       |
| TO-PRO3    | TO-PRO 3 Iodide (family of monomeric, cyanine nucleic acid stains) |
| SVEC       | Simian virus 40-transformed mouse endothelial cell line            |
| sKitL      | Soluble Kit ligand   |
| mKitL      | Membrane-bound kit ligand  |
| PI3K       | Phosphoinositide 3-kinases   |
| EPOR       | Erythropoietin receptor  |
| SCID       | Severe combined immunodeficiency                                   |
| CFDA SE    | Carboxyfluorescein diacetate succinimidyl ester                    |
| LAD        | left anterior descending artery                                    |
| GMP        | Good Manufacturing Practice  |
| BrdU       | Bromodeoxyuridine (5-bromo-2-deoxyuridine)                         |
| PI         | Propidium iodide   |

## Zusammenfassung

Die chronische Herzinsuffizienz ist eine der häufigsten Ursachen für Morbidität und Mortalität in den Industrieländern. Sie entsteht als eine späte Folge verschiedener kardiovaskulärer Erkrankungen und ist durch den Verlust kontraktile Muskels, durch Volumen- und Druck-Überlastung oder deren Kombination charakterisiert. Die Hauptmechanismen, welche zum Verlust kontraktile Gewebes führen, sind Ischämie und oxidativer Stress. In Abhängigkeit von Dauer und Schweregrad können vereinzelt und periodisch auftretende Ereignisse myokardialer Ischämie und Reperfusion zu Zellschädigung und Zelltod führen. Die begrenzte mitotische Kapazität schränkt die autogene Regeneration des ischämischen Myokards deutlich ein, vielmehr kommt es in diesen Gebieten zu einem inadäquaten Ersatz durch fibrotisches Gewebe. Fortschritte in der Stammzellbiologie und klinische Versuche zeigen, dass kardiales Gewebe durch intrakardiale Gabe von Knochenmarksstammzellen oder durch gerichtete Mobilisierung vordifferenzierter Progenitorzellen aus dem Knochenmark regeneriert werden kann.

Stammzellen könnten den Heilungsprozess im beschädigten Herzen durch Erhöhung der Neoangiogenese und/oder durch Regeneration kardialer Myozyten im beschädigten Herzen verstärken. Erste klinische Ansätze, welche die Transplantation von Stammzellen mit einbeziehen, werden derzeit bei einer noch limitierten Anzahl von Patienten mit chronischer Herzinsuffizienz getestet. Der mögliche Transfer von Behandlungen mit Stammzellen in die klinische Praxis, erfordert die Möglichkeit zur Behandlung mit standardisierten, gut charakterisierten Zellen definierten Ursprungs ohne tumorogenes Potential. Der alleinige Einsatz dieser Zellen oder der Einsatz in Kombination mit Wirkstoffen, welche die Rekrutierung endogener Stammzellen stimulieren, könnten zukünftig helfen, kardiovaskuläre Erkrankungen zu behandeln oder deren Entstehung möglicherweise sogar zu verhindern.

Derzeit werden sowohl zelluläre als auch pharmakologische Strategien zur Anreicherung von Stammzellen im erkrankten Herzgewebe entwickelt. Die zugrundeliegenden Mechanismen der Medikamenten-vermittelten Stammzell-Rekrutierung im Herzgewebe durch Erythropoietin (EPO) wurden in dieser Arbeit *in vitro* und *in vivo* untersucht. Weiterhin wurden Transplantatationstechniken und Nebenwirkungen von kultivierten mesenchymalen Stammzellen *in vitro* und *vivo* evaluiert.

Die Ziele der Untersuchungen waren:

1. Die Evaluierung der therapeutischen Wirksamkeit intrakardialer Erythropoietin Injektion auf das infarzierte Herz.
2. Die Beurteilung, ob eine intrakardiale Injektion von EPO die Rekrutierung Stamm- und Progenitorzellen im infarzierten Herz durch Aktivierung von Signalketten beeinflussen

und so zusätzlich zur kardialen Regeneration nach myokardialem Infarkt (MI) beitragen kann.

3. Die Untersuchung des unmittelbaren Verhaltens mesenchymaler Stammzellen aus dem Fettgewebe nach intraarterieller Gabe und deren Eignung zur intravaskulären Transplantation.
4. Die Evaluierung des Effekts der spontanen Transformation mesenchymaler Stammzellen (MSCs) auf die kardiale Funktion.

Die wichtigsten Ergebnisse waren folgende:

1. Eine intrakardiale EPO Injektion stellt die myokardiale Funktionen wieder her, reduziert Apoptose, induziert Angiogenese und vermindert Fibroseprozesse nach MI.
2. EPO vermittelt positive Effekte durch die Rekrutierung von c-Kit<sup>+</sup> und CD34<sup>+</sup> Stammzellen.
3. Die Mobilisierungs- und Rekrutierungsaktivität von Knochenmarkstammzellen durch EPO wird durch die Interaktion der SDF-1 $\alpha$ /CXCR4 Verbindung, eNOS und MMP-2 vermittelt.
4. Die unmittelbare Stimulierung durch EPO induziert die Hochregulierung zentraler Adhäsionsmoleküle in endothelialen Zellen.
5. EPO moduliert die SDF-1 $\alpha$ /CXCR4 Verbindung in endothelialen Zellen und Kardiomyoblasten *in vitro*.
6. Die kardioprotektive Wirkung von EPO steht in engem Zusammenhang mit der Hochregulierung nachgeordneter Faktoren wie eNOS und Akt.
7. Abhängig von der Zellgröße kann eine intraarterielle Gabe von MSCs zu Verschlüssen distaler Blutgefäße führen. Eine pulmonale Sequestrierung kann bei kleinen Labortieren zum Tod führen.
8. Nach regulären Protokollen isolierte und unter Standardbedingungen kultivierte MSCs transformieren in frühen Passagen. Die transformierten Zellen zeigen keine therapeutische Wirkung nach der Transplantation im experimentellen Modell des myokardialen Infarkts.

In der vorliegenden Arbeit wurden neue Informationen im Feld der kardialen Stammzelltherapie dargelegt. Es wird gezeigt, dass die Behandlung mit EPO bei kardialer Ischämie die frühe kardiale Protektion und Stammzellrekrutierung vermitteln kann. Die dargestellten Ergebnisse können die Entwicklung von neuen Therapiestrategien des akuten Myokardinfarktes beeinflussen. Die aufgezeigten möglichen Nebenwirkungen der Zellkulturexpansion von Stammzellen und deren Zellapplikationstechniken erfordern *in-vitro* und *-vivo* Überprüfung vor einem klinischen Einsatz.

## Summary

Congestive heart failure (CHF) is one of the most significant causes of morbidity and mortality in developed countries. It occurs as a late manifestation in diverse cardiovascular diseases characterized by the loss of contractile muscle and/or by volume and pressure overload. The major mechanisms involved in contractile tissue injury and loss are ischemia and oxidative stress. Depending on the duration and severity, single or intermittent episodes of myocardial ischemia and reperfusion may cause cell damage and death. The limited mitotic capacity of cardiomyocytes restricts the autogenous repair of the ischemic myocardium leading to replacement by fibrotic tissue. New advances in stem cell biology and clinical evidence demonstrated that the damaged cardiac tissue could be repaired either by intra-cardiac administration of bone marrow stem cells or by directional mobilization of lineage-committed stem cell from bone marrow (BM).

Stem cells could ameliorate this injury process by replenishing vascular supply (neovascularization) and/or regenerating cardiac myocytes in the damaged heart. However, clinical approaches which employ stem cell transplantation procedures are currently being tested in limited sets of patients with ischemic heart disease. The eventual translation of stem cell technologies into clinical practice, however, will likely be based on treatments with the standardized, well characterized cell sources without undesirable tumorigenesis and/or drugs which stimulate the endogenous stem cell recruitment for the treatment and the possible prevention of cardiovascular disease.

Currently, both cellular and pharmacological strategies are developed to enhance stem cells in diseased cardiac tissue. The underlying mechanism of drug-mediated stem cell recruitment to heart tissue by erythropoietin (EPO) was investigated in this study *in vitro* and *in vivo*. Furthermore, transplantation techniques and side-effects of cultured mesenchymal stem cells were evaluated *in vitro* and *in vivo*.

The aims were:

1. To evaluate the therapeutic efficacy of intracardiac erythropoietin injection in the infarcted heart.
2. To assess if intracardiac injection of EPO could recruit stem and progenitor cells to the infarcted heart by activating stem cell homing signalling which promotes cardiac regeneration after myocardial infarction.
3. To investigate the immediate term behaviours of human adipose derived mesenchymal stem cells after intraarterial administration and their suitability for intravascular transplantation.

4. To evaluate effects of mesenchymal stem cells (MSCs) spontaneous transformation on the cardiac function.

The main findings are the following:

1. Intracardiac EPO injection restores myocardial functions, reduces apoptosis, induces angiogenesis and decreases fibrosis following MI.
2. EPO exerts its beneficial action directly and through early recruitment of c-Kit<sup>+</sup> and CD34<sup>+</sup> stem cells.
3. The mobilization and homing activity of EPO is mediated by the action of the SDF-1 $\alpha$ /CXCR4 axis, eNOS and MMP-2.
4. EPO direct stimulation induces upregulation of central adhesion molecules in endothelial cells.
5. EPO modulates the SDF-1 $\alpha$ /CXCR4 axis in endothelial cell and cardiomyoblast *in vitro*.
6. EPO cardioprotective capacity is closely related with the down stream up-regulation of cytoprotective factors such as eNOS and Akt.
7. Intraarterial MSCs administration may lead to occlusion in the distal vasculature due to their large size. Pulmonary sequestration may cause death in small laboratory animals.
8. MSCs isolated according usual protocols and cultured under standard conditions could undergo transformation in early passage culture. The transformed cells lose their therapeutic capacity after transplantation in the artificial myocardial infarction model.

In the present thesis, we provided novel information to the field of stem cell cardiac therapy. We suggested EPO treatment during coronary interventions or cardiac surgery might promote early cardiac protection and stem cell recruitment. Our findings have marked translational implications for new therapeutical strategies in acute myocardial infarction. The demonstrated potential side-effects of cell culture expansion of stem cells and their cellular application techniques require *in vitro* and *in vivo* testing before clinical administration.

## 1. Introduction

Recently, remarkable progresses have been made in cardiovascular field and in regenerative medicine [1] [2] [3] [4] [5]. However, myocardial infarction, peripheral arterial disease and angina pectoris have been continually on the rise among a background of increases in hypertension, hyperlipidaemia, diabetes and hyperuricaemia [6]. Moreover, at the dawn of the third millennium congestive heart failure following cardiovascular diseases is still the predominant cause of decease in developed countries. The adverse remodelling scenario that leads to heart failure is the result of contractile muscle damage and loss due to episodes of ischemia and oxidative stress. The ruinous consequences of this direct or indirect cell loss (cardiac necrosis), beside contractile failure, ventricular remodelling and arrhythmia, originate the development of cardiac insufficiency, disability and death. The extension of cardiac myocytes necrosis is caused by acute coronary occlusion and depends not only on the dimension of affected area but also on ischemia severity and duration.

Strategies to restore the injured heart and further regenerate functional tissue have been the major aims of physicians for decades. In the last years, a significant medical advance has been produced and the survival and life quality of patients with coronary disease have been considerably improved. In patients with acute myocardial infarction (MI), the conventional treatments consist in the redirection of blood flow in order to attempt restoration process (reperfusion therapy). The time interval before the restitution of the blood flow (ischemia duration) is the main determinant of the reperfusion therapy outcome. Such knowhow brought the physicians to invest bigger efforts in decreasing the time passing between symptoms manifestation in patients and therapeutic reperfusion application. However, it is progressively harder to achieve an additional reduction of this interval. Even if several advancements have been done in recanalization of obstructed coronary arteries, the majority of patients with acute coronary disease continue to display different extents of myocardial necrosis.

In addition, reperfusion therapy either by thrombolytic agents or invasive practices is not a guaranty of ischemic cells survival. A number of studies carried on during the last two decades, undoubtedly demonstrated the paradox of revascularization. Indeed, though reperfusion is the only possible option to preserve the ischemic tissue from certain death, a consistent part of cell necrosis is caused by blood flow restoration. This phenomenon known as reperfusion damage has been extensively investigated in

different experimental models but its relevance in the clinical context has been recognized lately.

The possibility to ameliorate efficacy of thrombolysis and percutaneous coronary interventions (PCI) may come from coadjuvants treatments. Cardioprotective factors applied during revascularization open a new therapeutic window that could increase the clinical ending when it is not possible to modify ischemia duration. The development of strategies to protect the myocardium is based on the understanding of the physiopathological mechanisms of acute cell death during reperfusion. Several of these mechanisms are known; however, many of them are still under investigation. In the last years, various factors implicated with necrosis secondary to reperfusion have been discovered and new potential therapies have been identified. Alternatively, when the myocardium is irreversibly damaged, medical treatment only allows palliative options and effective solution comes from the replacement of the tissue with organ transplantation [7].

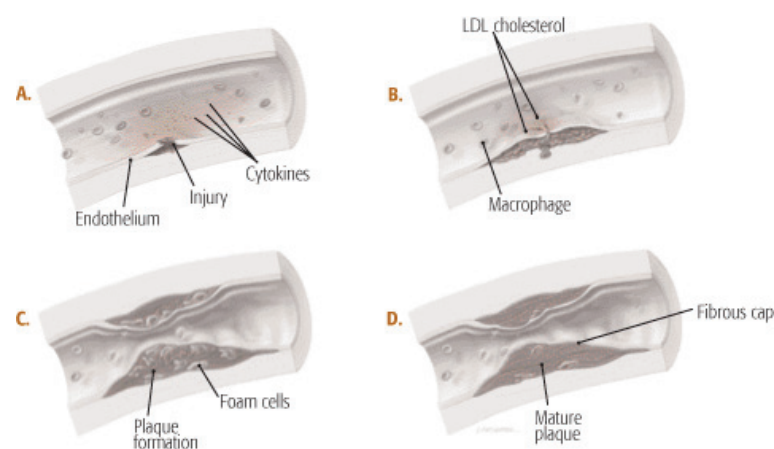
The thrilling discovery of stem cells being capable of differentiating into functional target cells and inducing new vascular formations raised worldwide interest and offered new horizons for the patients suffering from cardiac tissue loss. A number of detailed studies focusing on different types of stem and progenitor cells have been carried out in the last decade and cell based therapies have gained acceleration for the regeneration of the injured heart [8] [9]. Up to date, experimental studies indicate the delivery or mobilization of stem and/or progenitor cells may improve tissue perfusion and aid to the functionality of the damaged organs. However, clinical approaches which utilize stem cell transplantation procedures are presently being tested in restricted sets of patients with ischemic heart disease. The ultimate translation of stem cell technologies into clinical practice is expected to be based on treatments with standardized, well characterized cell sources devoid of detrimental tumorigenesis effects, or/and by drugs which rouse endogenous stem cell recruitment for the healing and possible preclusion of cardiovascular disease [10].

## 2. Acute myocardial infarction

### 2.1. Scenario

Myocardial infarction is the rapid development of cardiac muscle necrosis caused by a critical imbalance between oxygen supply and demand of the myocardium (ischemia). This situation usually derives from coronary atherosclerosis, a chronic inflammatory disease with stable and unstable periods [11] [12]. During unstable periods, recognized by activated inflammation in the vascular wall, patients may develop a myocardial infarction. Myocardial infarction might be a minor event in a lifelong chronic disease, it may even be undetected, but it may also be a major catastrophic event leading to sudden death or severe hemodynamic deterioration. A myocardial infarction may be the first manifestation of coronary artery disease, or it may occur, repeatedly, in patients with established disease.

MI develops after vulnerable atherosclerotic plaque rupture (unstable collection of lipids i.e. cholesterol and white blood cells, especially macrophages) (Figure 2.1). The rupture, followed by exposure of the basement membrane, triggers to platelet aggregation, thrombus formation, fibrin accumulation, haemorrhage into the plaque and varying degrees of vasospasm. This process can produce a partial or complete occlusion (blockage) of a coronary artery, resulting in an acute reduction of blood supply to a portion of the myocardium (Figure 2.2) located downstream to the blocked vessel (Figure 2.3) [13].

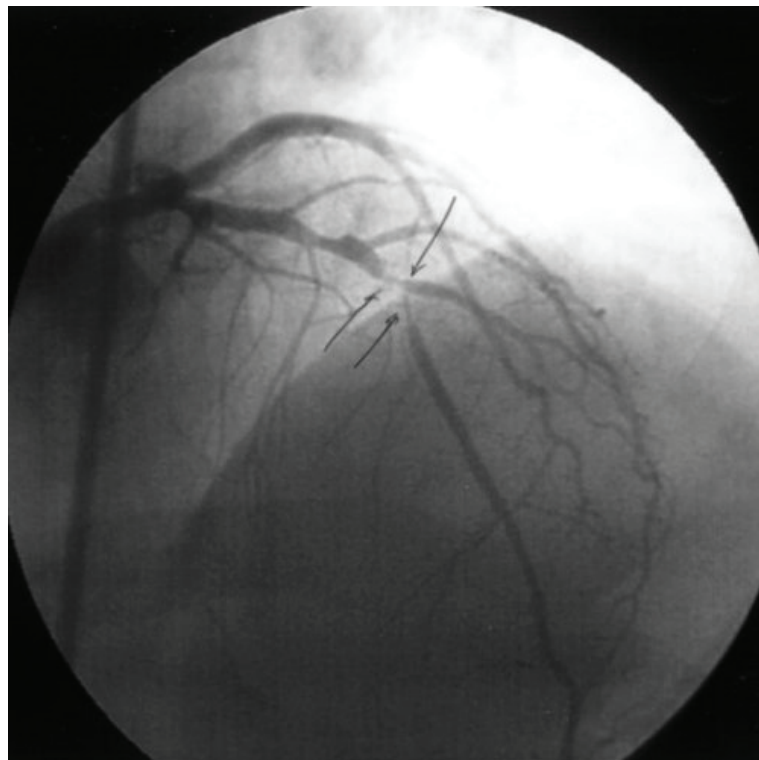


**Figure 2.1.** Atherosclerotic plaque formation. Image from:



The vulnerability of the plaque depends on its histological structure. Its fragility is related to the size of the lipid core, the thinness of the fibrous capsule and the inflammatory reaction. External aggression favours rupture. This triggers both thrombogenesis by bringing the blood cells into contact with thrombogenic subendothelial factors and local vasoconstriction due to endothelial dysfunction [13].

Myocardial infarction is defined in pathology as myocardial cell death due to prolonged ischemia. Ischemia describes the acute condition in which blood flow to myocardial cells is not sufficient to meet metabolic demands. Such condition is an unstable state influenced by factors that induce a disproportion between oxygen delivery and oxygen consumption and eventually leads to cell death. The major determinants of myocardial oxygen consumption are the frequency of contraction, the left ventricle wall stress and the inotropic state of the cardiac myocytes [14].

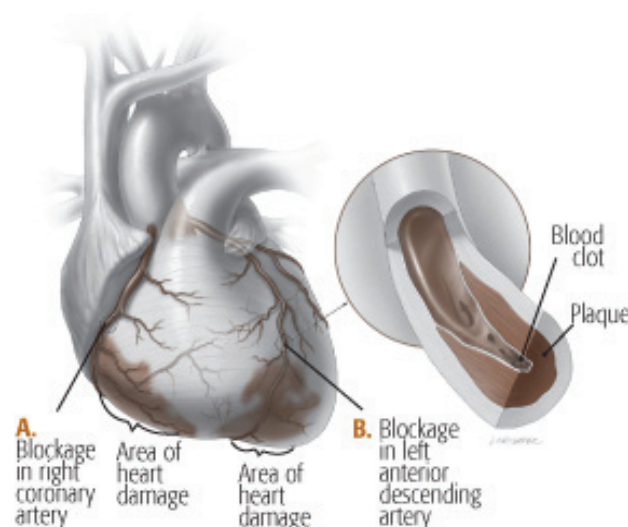


**Figure 2.2.** Coronary artery blockage. Angiogram from: [www.cpiersonmd.com](http://www.cpiersonmd.com)

Although a disproportionate raise in oxygen demand can by itself lead to diffuse and irregular myocardial necrosis, cardiac ischemia in human being is most often regional

and results from an inadequate blood supply through one of the major coronary arteries [15]. The consequences of regional myocardial ischemia have been well studied; anaerobic metabolism and active systolic shortening stop within seconds; when sustained for more than 30 min, ischemia becomes irreversible. Cardiomyocyte death is not immediate but takes a finite period to develop (as little as 20 min or less in some animal models). Cell loss is categorized pathologically as coagulation and/or contraction band necrosis, which usually evolves through oncosis, but can result to a lesser degree from apoptosis.

Before myocardial necrosis can be identified by macroscopic or microscopic post-mortem examination several hours have to pass after ischemia. Complete necrosis of all myocardial cells at risk requires at least 2–4 h or longer (6h) [16]. It depends on: presence of collateral circulation to the ischemic zone, persistent or intermittent coronary arterial occlusion, the sensitivity of the myocytes to ischemia, preconditioning, and/or, finally, individual demand for myocardial oxygen and nutrients [16] [17] [18]. During myocardial infarction development the area of the myocardium is subjected to specific alterations that depend on ischemia distribution. The region is defined as infarcted zone (or infarcted area) while the tissue distant from infarction is recognized as remote zone (or non infarcted area). MI is usually classified by size: microscopic (focal necrosis), small [ $< 10\%$  of the left ventricular (LV) myocardium], moderate (10–30% of the LV myocardium), and large ( $>30\%$  of the LV myocardium), and by location [17]. It can be defined pathologically as acute, healing, or healed. Acute MI



**Figure 2.3.** A. Right coronary artery blockage. B. Left coronary artery

is characterized by the presence of polymorphonuclear leukocytes. If the time interval between the onset of the infarction and death is quite brief, e.g. 6 h, minimal or no polymorphonuclear leukocytes may be seen. The presence of mononuclear cells and fibroblasts, and the absence of polymorphonuclear leukocytes characterize healing infarction. Healed infarction is manifested as scar tissue without cellular infiltration (Table 2.1). The entire process leading to a healed infarction usually takes at least 5–6 weeks [17].

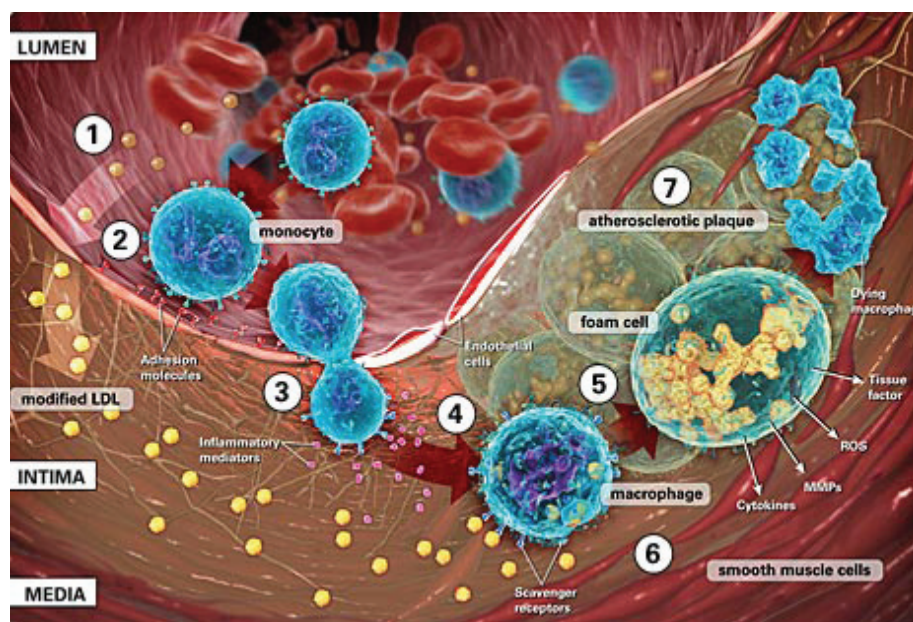
| Types of MI | Presence Absence                  |   |
|-------------|-----------------------------------|---|
| Acute       | Polymorphonuclear leukocytes      | /   |
| Healing     | Mononuclear cells and fibroblasts | Polymorphonuclear leukocytes                      |
| Healed      | Scar                              | Mononuclear cells<br>Polymorphonuclear leukocytes |

**Table 2.1.** Myocardial infarction types

## 2.2. Inflammatory response

Inflammatory response and cytokine expression are essential mechanisms of the host response to tissue injury and play a predominant and active role after myocardial infarction (Figure 2.4). The degree of this response is a fundamental aspect of the host's injury overcome and the function of cytokines released by the myocardium is crucial for tissue repair modulation and adaptation to damage [19] [20].

In the first minutes after injury in the ischemic zone there is a significant increase in the production and release of proinflammatory cytokines [21]. This intense cytokines synthesis could control the survival or apoptosis of cardiac myocytes in infarcted area and when extended to noninfarcted zone could activate a second phase of high levels of cytokines that promote interstitial fibrosis and collagen deposition (cardiac remodelling) [22] [23].



**Figure 2.4.** Vascular inflammation. 1. Low-density lipoprotein (LDL) extravasation, oxidation and accumulation. 2. Oxidized LDL along stimulate endothelial cells to express adhesion molecules such as VCAM-1, which bind circulating monocytes. 3. Monocytes migrate into the arterial wall, following concentrations of chemoattractants such as MCP-1. 4. In the arterial wall, monocytes mature into activated macrophages, which express scavenger receptors which bind oxidized LDL. 5. Macrophages internalize the oxidized LDL particles giving rise to foam cells. 6. Activated macrophages or foam cells secrete pro-inflammatory cytokines, reactive oxygen species, matrix metalloproteinases and other factors which aggravate the inflammatory process, as well as result in smooth muscle cell proliferation and migration. 7. Foam cells, dead macrophages, lipids and smooth muscle cells accumulate to form a fatty streak, eventually resulting in an atherosclerotic plaque. Image from: [www.resverlogix.com](http://www.resverlogix.com)

Cytokines can mediate repair through activation of matrix metalloproteinases, regulation of integrins, angiogenesis and progenitor cell mobilization. The early inflammatory reaction can in fact conceal a cardioprotective role that became deleterious when the response is delayed to a stage until fibrosis processes begin. Thus the consequences of inflammatory cytokine effects can be favorable, leading to healing and restoration of function, or unfavorable, leading to acute cardiac rupture or chronic dilatation.

In normal conditions in the heart proinflammatory cytokines, such as tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), interleukin-1- $\beta$  (IL-1 $\beta$ ) and interleukin-6 (IL-6) are not constitutively expressed [19] [20]. After myocardial injury an intrinsic or an innate stress response mediates upregulation and production of these cytokines [21]. In animal

models (rodents) after MI there are strong upregulations of intramyocardial cytokines including TNF- $\alpha$ , IL-1 $\beta$ , and IL-6 mRNAs in the infarct area, within the first hours to 1 day, (up to 50-fold), as well as in the noninfarcted myocardium (up to 15-fold) [24] [25]. This strong upregulation may return to baseline if the infarction is restricted. However, if the infarction is large, or if host inflammatory response is high, there can be either constant cytokine upregulation or a second signal of cytokine overexpression, corresponding to chronic remodeling period [23]. The second signal can expand to involve the remote area with the consequent activation of important remodeling process in the entire myocardium [23] [25].

In the ischemic area during MI, cytokines such as TNF- $\alpha$  and IL-6 are promptly released but normally are found in higher amount in the border zone (area of transition between infarcted and non infarcted area) than in the remote area. Beside the ischemic stress, direct mechanical stretch of the myocardium is also a potent regulator for cytokines production [22]. Mechanical stimulation propagated through potential mechanosensors such as integrins, cytoskeleton and sarcolemmal proteins is translated into 3 major intracellular cross-talking signal transduction pathways [22] [26]. These pathways are: mitogen-activated protein kinase (MAPK), JAK-signal transducer and activator of transcription (STAT), and calcineurin dependent pathways. They trigger related downstream nuclear transcription factors, such as NF- $\kappa$ B and AP-1, which are essential for the induction of most cytokine genes, including TNF- $\alpha$  and IL-6 [26].

The intense upregulation of cytokines after ischemia events is accompanied by a temporary induction of stress-activated transcription factors like C/ATF-enhancer binding protein and STAT-3 phosphorylation [24]. Coupled to this mechanism there is also local gp130 and IL-6 activation which is part of the host stress response signaling system and can direct to phenotype alteration, such as induction of hypertrophy [27] [28]. These signaling pathways which integrate the cell response to stress lead eventually to cytokine activation and are upregulated in reaction to different stimuli like hypoxia, free radical excess, osmotic dysregulation, and early membrane injury.

A new important stress activated inflammatory factor could be the peroxisome proliferator-activated receptor (PPAR- $\gamma$ ). It has been shown that PPARs are regulators of cell proliferation and host inflammatory response. In the murine model the PPAR agonist pioglitazone was found to improve function and remodeling and was associated with significant reductions in inflammatory cytokine levels in the myocardium [29]. An

additional PPAR agonist, rosiglitazone can induce downregulation of CD11b/CD18 and upregulation of cytokines in cardiac ischemia/reperfusion models [30] [31] [32].

Reactive oxygen species (ROS) are also crucial coadjuvant regulators of inflammatory response of myocardium; they are activated by proinflammatory cytokines and they induce cytokines production themselves [33] [34]. A number of studies showed hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) can activate TNF- $\alpha$  production via p38 mitogen-activated protein kinase (MAPK) and mediate myocardial dysfunction and apoptosis [33] [35]. Furthermore, H<sub>2</sub>O<sub>2</sub> induces the release of the inflammatory protein high mobility group box 1 (HMGB1) in macrophages and monocytes [36].

In the heart, known targets of HMGB1 binds are: TLR 2/4 and advanced glycation endproducts receptor RAGE [37] [38] [39]. Toll like receptors signaling are crucial regulator of cardiac cell survival and myocardial ischemic injury. Beside their pivotal role in host defence against microbial infection (i.e. gram-negative bacteria lipopolysaccharide LPS) and regulation of cardiac dysfunction during sepsis, they can modulate cardiomyocyte survival and ischemic myocardial injury through endogenous ligands recognition [38]. This double-edged effects of TLRs is due to the presence of crosstalk between their signaling and both the cytoprotective (PI3K)/Akt and proinflammatory NF- $\kappa$ B pathways [40] [41] [42]. Moreover, toll like receptors can also mediate induction of ROS after endothelial stress signalling [43]. In recent studies, RAGE has also been shown to be critical for cardiovascular disease and its antagonism has been proposed as novel form of therapeutic intervention [39] [44].

Interestingly, inflammatory response is a very dynamic concert of events induced by numerous factors that act in a synergistic way and possess unique self-amplification ability. Cytokines have this self-amplification capacity through a positive feedback mechanism that targets the transcription factor NF- $\kappa$ B. For example, TNF- $\alpha$  upregulation in the ischemic region of the myocardium can easily induce TNF- $\alpha$  upregulation in the near NIZ, initiating amplified cytokine effects [25]. Moreover, the direct recruitment of inflammatory cells to the site of injury produces an additional amplification of inflammatory signal. Promptly after cardiac ischemia, adhesive cytokines such as monocyte chemoattractant protein 1 (MCP1), a potent chemoattractant of mononuclear cell, is induced in the myocardium [45]. This gives origin to macrophages transmigration from the blood and, sequentially, supplies a new source of local cytokine production and amplification of the local inflammatory response [46]. The infiltration of neutrophils is another important step in the local

amplification of the initial inflammatory response triggered by cytokines. The migration of neutrophil depends on their interaction with the endothelial cells through L-selectin (on haematopoietic cells side) and P-selectin (on endothelial cells and platelets side) [47]. Leukocyte  $\beta$ -integrins are, sequentially, responsible for adhesion to endothelial cells, especially the  $\beta_2$  integrins (CD18), lymphocyte function antigen-1 (CD11a/CD18), macrophage antigen-1 (CD11b/CD18), or the very late antigen-4 (CD49d/CD29). Once the transmigration of inflammatory cell takes place, further cytokines are secreted to facilitate extravasation into the extravascular environment [47].

Beside the role of mononuclear cells such as macrophages and neutrophils in the early inflammatory response, other cells like e.g. mast cells hold an important function. These cells are bone marrow-derived inflammatory cells with prepackaged inflammatory cytokines and growth factors and accumulate in the ischemic-reperfused myocardium after 3 days from infarction. Mast cells could principally follow the mobilization signal of stem cell factor (SCF) which is secreted by a macrophage subset during reperfusion. In the early inflammatory response, mast cell release as well TNF- $\alpha$  which can further amplify inflammation cascade [48].

### 2.3. Ischemia/reperfusion injury

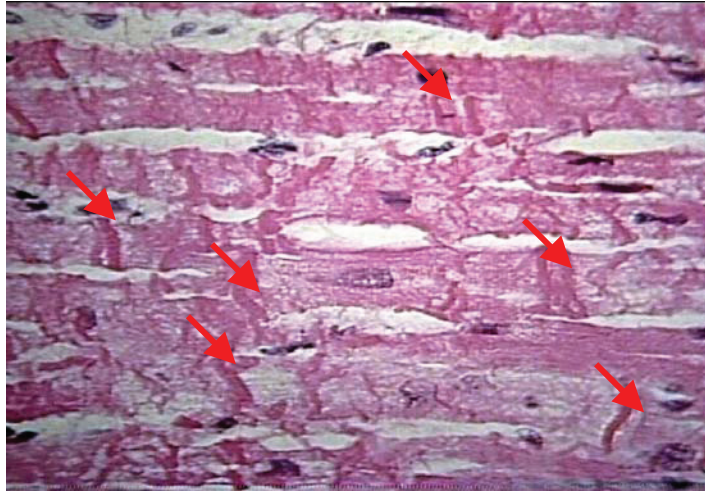
After ischemia the coronary artery stenosis (culprit lesion) has to be reopened or bypassed early enough to attenuate myocardial infarction. When the reperfusion begins, the cells that are able to re-acquire the ionic homeostasis survive, while in a variable number of cardiac myocytes the ionic unbalance is not restored but even deteriorated. The unavoidable fate of these cells is the sudden death for necrosis. This adverse situation happens during the first minutes after oxygen and blood supply restoration and is characterized by cellular membranes rupture and liberation of cellular content (principally cytosolic enzymes) in the extracellular milieu [49]. Necrosis is well defined in histological samples where single cardiac myocytes appear significantly shorter with complete loss of sarcomeric organization which leads to the characteristic histological features of contraction bands (Figure 2.5). Electronic microscopy images of cardiac muscle cells show sarcolemma disaggregation, mitochondrial edema, Ca<sup>2+</sup> massive deposits in mitochondrial matrix and sarcomeric myofibrils shortening and disorganization [50].

A classic laboratory experiment which could mimic adequately the features of this necrosis is the retrograde heart reperfusion by the Langendorff system. A transient ischemia (normally between 40 and 60 min) and following reperfusion are artificially produced in the isolated heart. The first minutes of reperfusion are characterized by a wide release of intracellular enzymes (creatine kinase, lactate dehydrogenase) which amount correlates with the extension of the necrotic tissue detected by the typical contraction bands in histological sections. These findings demonstrated that the myocardial cell death happens promptly at the moment of flow restoration [51].

Other studies focused on isolated cardiomyocytes models proved that reoxygenation after artificial ischemia induces a sudden cell length shortening accompanied by the loss of the cytoarchitecture. The ultrastructural features of this abrupt cell disorganization also coincide with the typical traits of necrosis (contraction bands) [52]. The typology of response at cellular level is denominated hypercontracture and its occurrence depends on the time frame between severe depletion of adenosine-5'-triphosphate (ATP) and reenergization that follow reperfusion [53]. The amplitude of cardiac myocyte shortening has been shown to correlate again to the extension of contraction bands area [54].

The mechanisms that lead to cellular shortening due to hypercontracture have been widely investigated with isolated cardiac myocyte models. These models are especially suitable for the simultaneous analysis of morphological changes and ionic unbalance. The studies highlighted that hypercontracture is mainly caused by reenergization (which reactivates contractile activity of ATP dependent myofibrils) and the concurrent presence of anomalous elevation of intracellular  $\text{Ca}^{2+}$  (that in presence of ATP produce uncontrolled and excessive contractile power) [55]. The loss of  $\text{Ca}^{2+}$  homeostasis begins in the very early instants of ischemia characterized by determinant changes in the cytosolic composition [53]. The initial ionic unbalance originated by energy deficiency is the abnormal accumulation of intracellular  $\text{Na}^+$  due to sarcolemmal  $\text{Na}^+/\text{K}^+$  pump failure and cytosolic acidity increase induced by initiation of anaerobic glycolysis. This ionic unbalance gives origin to a reaction of the cell that try to re-stabilize the internal milieu by the inverse flow of  $\text{Na}^+/\text{Ca}^{2+}$  membrane exchanger with unavoidable  $\text{Ca}^{2+}$  uptake; the condition leads to progressive loss of  $\text{Ca}^{2+}$  concentration control. In normal physiological conditions, the  $\text{Ca}^{2+}$  is one of the cations which concentration is more strictly regulated inside the intracellular environment [50].





**Figure 2.5.** Contraction bands. Image from: [www.pathguy.com](http://www.pathguy.com)

At this point, the abrupt reperfusion and reenergization leads to an aggravation of the cations control associated to the cell mechanisms for acidosis regulation. The blood reflow provokes a fast washing of extracellular catabolites (mostly  $H^+$ ) which induces a pH gradient between the cell and its surrounding causing activation of regulatory mechanisms against intracellular acidosis. These mechanisms, mainly through transmembrane exchanger  $Na^+/H^+$  and co-transporter  $Na^+/HCO_3^-$ , further exacerbate the cytosolic overcharge of  $Na^+$  that as previously mentioned contribute to  $Ca^{2+}$  uncontrolled increase [56]. Under cardiac myocyte normal physiological conditions, the entrance of  $Ca^{2+}$  through inverse flow of  $Na^+/Ca^{2+}$  exchanger is not relevant but became deleterious when the cell is overcharged by  $Na^+$ . As a result of all these chained regulatory mechanisms, the re-oxygenated cell accumulates an amount of intracellular  $Ca^{2+}$  that seriously compromises its survival [56].

Beside the critical ionic unbalance in the intracellular environment, the reactivation of the energetic metabolism holds direct consequences on the integrity and the functionality of vital cellular structures such as cytoskeleton, organelles and sarcolemma. The first aspect is cellular edema induced by the osmotic gradient through the sarcolemma that suddenly forms, following metabolites washing. This promotes  $H_2O$  entry in the cytosol with consequent cell volume augmentation, cytoskeleton and membrane stress and eventually cell integrity loss. Experimental studies demonstrated that the heart when reperfused with an hyperosmotic solution shows decreased: infarction size, edema extent and cellular death [57]. The second unfavorable aspect is

that the developing of mechanic fragility during ischemia can significantly reduce the cell resistance to the mechanical stress imposed by reperfusion. The actual mechanisms which lead to cellular structures vulnerability are not fully understood, but it has been described that the activation of calpains could provoke the proteolysis of cytoskeleton and subsarcolemmal structures [58] [59]. Calpains are a family of calcium-dependent, non-lysosomal cysteine proteases (proteolytic enzymes) that are inhibited by acidosis. However, these proteases play a crucial role in the death of cardiac myocytes during reperfusion because of antagonism between  $\text{Ca}^{2+}$  overcharge and pH normalization. Calpain mediates degradation of ankyrin (a protein which participates to the attachment of the  $\text{Na}^+/\text{K}^+$  ATPase pump to the membrane and subsarcolemmal cytoskeleton) causing decisive dysfunction of the pump during initial reperfusion [60]. This proteolytic degradation could create a close circle in which  $\text{Na}^+$  overcharge promotes additional  $\text{Ca}^{2+}$  inflow that holds calpains activated state which additionally increases intracellular  $\text{Na}^+$  and finally leads to hypercontracture and cellular death [50].

Finally it is important to remember that although the majority of the necrosis features secondary to ischemia are reproducible with the preparation of isolated cardiac myocytes and perfused hearts, other cell types such as thrombocytes, neutrophils and fibroblasts play a trivial role in reperfusion damages. Particularly platelets activated during ischemia/reperfusion adhere to myocardial microvascular endothelium through L-selectin induction and secrete factors which contribute to the  $\text{Ca}^{2+}$  homeostasis loss and consequent cell death [61] [62] [63]. Additionally, the activation of NF- $\kappa$ B pathways has been shown to be crucial as well in the dynamic of reperfusion injury and its inhibition can alleviate the damage of sudden blood reflow [64] [65] [66].

#### 2.4. Cardiac remodeling

The cardiac remodeling is the progressive and detrimental alteration of the myocardium in response to injury initiated by succeeding inflammatory cascade phases that ultimately results in the formation of a collagen-based scar [67]. Particularly, the ventricular remodeling involves both the infarcted and noninfarcted myocardium and results in dilation, hypertrophy, and enhanced sphericity of the ventricle [68]. This process, characterized by changes in size, shape and functions is directly related to the healing response and is associated with a worse prognosis in patients with heart failure [69] [70].

Pathologically, the scar formation is well discriminated by the infarct size [71]. Its extension depends on: the area of myocardium interested by ischemia, the reperfusion therapy time frame, and the myocardial energy consumption during coronary occlusion. The injured area of the heart after reperfusion consists of highly inhomogeneous tissue which could either recuperate, persist in shock state or became apoptotic / necrotic. The complexity of this irregular situation is enlarged by the different sensitivity to ischemia of myocardial cells, vasculature, and connective tissue [72] and will be even more intricate when inflammatory cells and macrophages invade the injured area and start to support processes of inflammation, clearing debris, and wound healing [73].

The whole course of events is initially dominated by the inflammation phase (degradation of extracellular matrix, inhibition of tissue proliferation, and release of inflammatory mediators. Defined also as: ‘acute remodeling’ or ‘inflammatory phenotype’) and after evolve to reparation (increased matrix synthesis, proliferation of fibroblasts and inflammatory cells, and release of fibrosis-promoting cytokines leading to scar formation. Defined also as: ‘chronic remodeling’ or ‘activated phenotype’) [73].

As described before, crucial factors involved in the initial inflammation phase (injury response and acute remodeling) such TNF- $\alpha$ , IL-1 $\beta$ , and IL-6 are most commonly associated with the postmyocardial infarction remodeling process as well (chronic remodeling) [25] [23]. In the acute remodelling phase, these proinflammatory cytokines have at least four direct effects on cardiac myocytes: progressive myocyte apoptosis [74] [75], myocyte hypertrophy [76], defects in contractility [77] and inflammatory signal transduction [78]. Regarding myocyte apoptosis and hypertrophy, has been shown that cytokines like TNF- $\alpha$  or IL-6 showed to have a significant pleiotropic effect on the host cells, with a potential for apoptosis versus cytoprotection and hypertrophy [76] [77] [79] [80]. The balance between these opposite process rules the cellular remodeling degree. Cytokines are able to reduce left ventricle performance and myocyte contractility directly and indirectly. TNF- $\alpha$  and IL-6 can directly ease the contractility of cardiomyocyte by alterations of sarcoplasmic reticulum function with the instant reduction of systolic cytosolic Ca<sup>2+</sup> [81]. On the other hand, TNF- $\alpha$  is also capable of diminishing myocyte contractility indirectly, through nitric oxide-dependent decrease of myofilament Ca<sup>2+</sup> sensitivity [82]. Contract failure could be also induced by TNF- $\alpha$ , IL-1 $\beta$ , and interferon- $\gamma$  through the raise of superoxide anion, which reacts with NO to form peroxynitrite which in turn desensitizes myofilaments [83]. During damage,

the fall of contractility mediated by TNF- $\alpha$  and IL-6 might be an adaptive response to the reduction of cardiac energy demand.

TNF- $\alpha$  could also directly stimulate mitochondrial ROS production within cardiac myocytes and caused mitochondrial DNA damage via a ceramide-dependent pathway [84]. ROS, on its side, has also been shown to participate to cardiac myocyte hypertrophy progress. Furthermore, ROS release is involved in the successive chronic remodeling processes including fibrosis, collagen deposition, and matrix metalloproteinase activation which are mainly associated with pathological fibrosis, feature of development toward end-stage heart failure [85] [86] [87].

The wound healing process (chronic remodeling) includes: phagocytosis and removal of necrotic tissue, myocytes survival and hypertrophy, degradation and synthesis of matrix substrate such as collagens and integrins, myofibroblasts proliferation and angiogenesis / vasculogenesis, and, to a limited extent, progenitor cell proliferation [88] [89] [90].

After the first upregulation of proinflammatory cytokines in the infarcted area the level of TNF- $\alpha$ , IL-1 $\beta$ , and IL-6 should decrease to the baseline within one week [23]. However, depending on infarct dimensions and potential additional stress signal the cytokines could either persist at a high level of expression or grow back to a second wave of upregulation especially in the remote area, far from the origin of the injury. During progression of chronic remodeling, cytokine such as IL-1 $\beta$  are originated in macrophages, endothelial cells, and vascular smooth muscle cells in addition to myocytes. These cytokine mediates processes of myocyte hypertrophy, myocyte apoptosis, and the triggering of additional inflammatory cell signaling. Several studies confirmed these effects by a number of transgenic mice models with myocardial TNF- $\alpha$  overexpression [77] [91] [92]. These studies consistently showed myocardial hypertrophy, eventually leading to dilated cardiomyopathy, inflammatory cell infiltrations, and greater interstitial fibrosis.

After the resorption of necrotic tissue and the removal of apoptotic granulocytes by phagocytes the proinflammatory cytokines release decreases. In fact, apoptosis in contrast to necrosis (necrotic cells triggers inflammation) promotes the production of anti-inflammatory cytokines such as IL-10 and transforming growth factor (TGF). The latter is a central cytokine for the transition phase from inflammation to fibrosis, it decreases leukocyte adhesion and stimulates fibroblast proliferation and extracellular matrix production [93]. Cytokines elevation (e.g. TGF elevation) could promote

interstitial fibrosis and collagen deposition not only in the infarcted region but in the contralateral remote area as well. These remodeling mechanisms are characterized mainly by the synthesis and degradation of collagens which are the most important components of the extracellular matrix. The mediators of this matrix remodeling are the matrix metalloproteinases (MMPs) that reside usually in the myocardial interstitium under inactive form; MMPs can be rapidly triggered by free radicals, cytokines, and hypoxia after few minutes of ischemia [94]. Within these cytokines, TNF- $\alpha$  and IL-1 $\beta$  can regulate the activation and overexpression of MMPs that are originally responsible for matrix degradation and, consequently, collagen deposition [95] [96].

Beside collagens, other proteins such as elastin and fibronectin constitute the intricate network which composes the cardiac matrix; these proteins interact with integrins and adhesion kinases at the cell / matrix connection. Even so, during the remodeling phase the most prevalent protein alteration in the myocardium consists of collagen degradation and production. The cytokines that play a major role in this process are TNF- $\alpha$ , TGF- $\beta$  and osteopontin. The increase of such cytokines correlates closely with the consequent deposition of collagens type I and III. It has been shown that TNF- $\alpha$  could enhance fibrosis through angiotensin 1 (AT1) receptor upregulation [97] while TGF- $\beta$ 3 might be implicated in the regulation of late collagen deposition [88]. Osteopontin (OPN) plays an important role in myocardial post infarction remodeling by inducing collagen synthesis and accumulation [98] [99].

Interestingly, TNF- $\alpha$  is also capable to alterate the integrin- $\beta$ 1 form present in the adult myocytes (integrin- $\beta$ 1D, responsible for the firm anchoring of myocytes in the matrix) to the isoform integrin- $\beta$ 1A, which is present in the fetal myocytes and promotes mobility and proliferation at the expense of efficient contractility [90].

The remodeling process is not only characterized by collagen deposition and scar formation with subsequent worse ventricular modification but also by a certain degree of tissue restoration. Indeed, part of the alteration postmyocardial infarction involves the regeneration of some of the lost cardiac components like blood vessels and myocyte. The prior knowledge of the heart being an organ incapable of self-regeneration has been recently overturned by evidence suggesting the myocardium could partially restore some of these components and that this partial regeneration could be also enhanced [89] [attached manuscripts 1 and 2] [100] [101] [102].

Important cytokines elaborated during myocardial infarction have been repeatedly shown to direct the angiogenesis process. In the initial phase, the expression levels of

these cytokines such as vascular endothelial growth factor (VEGF), basic fibroblast growth factor bFGF, angiopoietin-2 (Ang-2), hepatocyte growth factor (HGF), transforming growth factor (TGF)-beta1, inducible nitric oxide synthases (iNOS) and TNF- $\alpha$  are significantly elevated [103] [104] [105]. Some of these factors (VEGF, iNOS and TNF- $\alpha$ ) have been shown to remain elevated within one month or more [103] or to be subjected to a second wave of up-regulation after the acute phase (during the sub-acute phase) [105].

Other angiogenetic mediators are the chemotactic cytokines (chemokines) of the “CXC” family. Within these cytokines, the ones that contain the so called ELR motif (glutamic acid-leucine-arginine) like interleukin 8 (IL-8) are angiogenesis inducers while the ones lacking ELR motif such as interferon-inducible protein 10 (IP-10) are angiogenesis inhibitors [22].

In the very first hours of reperfusion, the upregulation of TNF- $\alpha$  could induce synthesis of IP-10 in the microvascular endothelium. IP-10 overexpression provokes inhibition of angiogenetic activity in the injured area until macrophages ultimate complete remove of death cells and debris and fibrin-based provisional matrix is formed. After the first 24 hours of reperfusion, under TGF- $\beta$ 1 stimuli IP-10 is downregulated again in favor of angiogenesis. TGF- $\beta$  can also induce bFGF and VEGF significant overexpression in endothelial and smooth muscle cells amplifying angiogenetic progression. Together with endothelial and smooth muscle cells monocyte-derived macrophages, mast cells, and myofibroblasts secrete essential growth factors for new vessel formation and repair [22].

## 2.5. Therapies for acute myocardial infarction

Usual treatments to counter coronary occlusion in patients with acute myocardial infarction (MI) are attempted by the redirection of the blood flow (reperfusion therapy). The reperfusion therapy has become the central treatment for patients who present with suspected acute MI and can be achieved either with thrombolytic therapy, percutaneous coronary intervention (PCI) or when these therapies are unsuccessful, bypass surgery.

In the favorable case the patient can be treated in the first hours from symptoms presentation, one possible intervention to restore perfusion is the thrombolytic therapy. The efficiency of this therapy is maximal within 2 hours from the manifestation of the symptoms and must be performed in any case before 12 hours from adverse event. In

fact, away from this intervention window the administration of thrombolytic agents causes more risks (e.g. intracranial bleeding) than effective benefits [106] [107]. As previously mentioned, irreversible injury occurs within 2–6 hours from the infarction. Therefore the therapeutic window to re-establish perfusion is extremely narrow. Beside a rapid administration, an ideal thrombolytic drug should direct to quick reperfusion, be specific for recent thrombi, create a low risk for intra-cerebral and systemic bleeding, have no antigenicity, adverse hemodynamic effects, or clinically significant drug interactions [108]. Currently, no perfect thrombolytic agent exists and thrombolytic therapy could be often ineffective with a degree of success that depends on the time since the onset of symptoms began [107]. The failure rates of thrombolytics can be as high as 20% or higher [109].

Nowadays, thrombolysis should only be performed if it is not possible to transport the patient to the nearest cardiovascular centre within 90 minutes from MI symptoms presentation. In the adverse cases of thrombolytic agent inefficiency, the patient could be then treated with percutaneous coronary intervention (PCI). Percutaneous coronary intervention following thrombolytic treatment is defined as "rescue PCI" or "salvage PCI". Due to the action of the thrombolytic agent, a number of problems (particularly bleeding) are significantly higher with rescue PCI than with primary PCI [110].

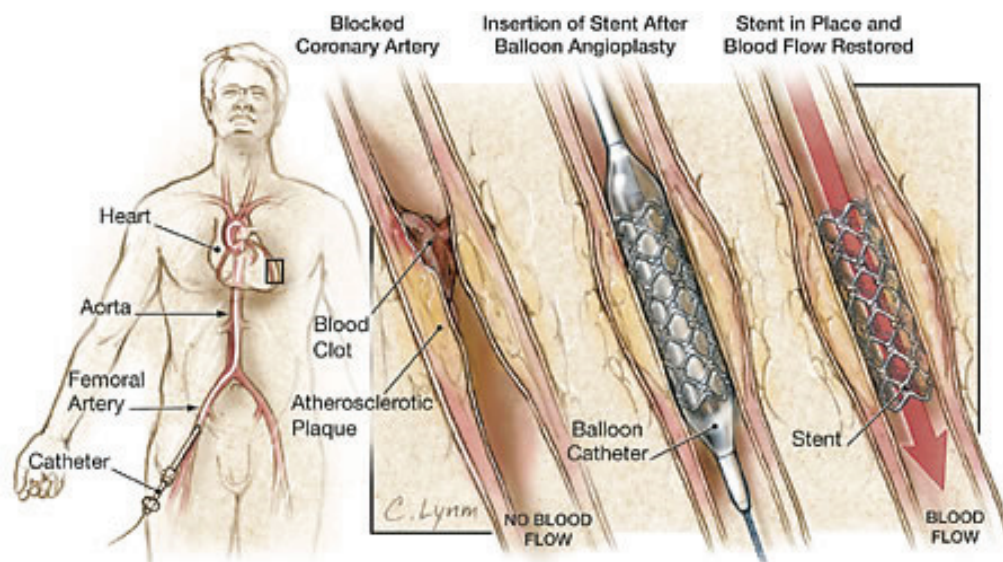
Primary percutaneous coronary intervention is a well established and first line therapy for acute ST elevation myocardial infarction [111] [112] [113]. Primary PCI consists of anatomical localization of the occluded vessel by coronary angiogram followed by balloon angioplasty through femoral or radial artery (and commonly employment of an intracoronary stent) (Figure 2.6). In some cases, an attempt to remove the thrombus using extraction catheter might be tried prior to balloon angioplasty [114]. Properly performed primary PCI restores flow in the offended artery in more than 95% of patients compared with the spontaneous recanalization rate of about 65% [111].

Reperfusion therapy obtained by emergency coronary artery bypass graft surgery (CABG) to treat acute MI is less common than PCI or other medications (U.S. National Registry of Myocardial Infarction) [115]. Coronary artery bypass surgery is characterized by the implantation of an artery or a vein from the patient to bypass narrowing or occlusions of coronary arteries. Different vessels could be utilized, however internal mammary artery grafts have shown considerably better long-term patency rates than great saphenous vein grafts [116]. In the case more than one coronary

artery is completely or partially occluded, bypass surgery is associated with higher long-term survival rates compared to percutaneous interventions [117]. If the vessel affected is only one, surgery and PCI have comparable safety and efficiency and selection of intervention type depends on specific cases [118].

In the last years, new therapies for the treatment of myocardial infarction rose consistently following the thrilling advances in stem cell biology. On the basis of encouraging results in animal models, a number of clinical trials with the application of stem cells after MI have been carried on. Patients who received stem cells derived from different sources through coronary artery injection or other transplantation routes showed improvements in left ventricular ejection fraction and end-diastolic volume not seen with placebo [119] [120]. Several clinical trials with stem cell application are still proceeding and stimulating optimism about the prevention or even reversion of heart failure by cell-based therapy.

Additional approaches which are still at an earlier stage of medical research consider biomaterial and tissue engineering for the treatment of myocardial infarction. Special polymers, employed as left ventricular scaffolds, and in vitro engineered cardiac tissue, which is subsequently implanted in vivo are investigated in order to prevent heart failure [121].





## 2.6. What to target?

In a complex scenario like the myocardial infarction, it is particularly challenging to understand what could be the best move towards the preservation and/or renovation of the cardiac tissue. Within the multifaceted mechanisms that regulate the inflammatory response, the reaction to reperfusion and the remodeling after and during cardiac injury, the best intervention might be not exclusive but most likely a concert of actions. Although no perfect cure exists, the optimal treatment should: reduce inflammation, protect the cells from mechanical and oxidative stress, decrease apoptosis, necrosis and fibrosis, and initiate regeneration processes directly and through innate renewal activation. The finding of stem cells being capable of several of these beneficial actions at a time (e.g. immunosuppressant properties, cytoprotection, direct regeneration through transdifferentiation and activation of resident stem cells) [120] opened an exciting possibility for many scientists to aspire at the ideal treatment for myocardial infarction and other diseases.

### 3. Stem cell mediated cardiac repair

#### 3.1. Cardiac regeneration

The dogma of the heart being a post-mitotic organ formed by cardiac myocytes (often compared to neurons) which are incapable of regeneration and replacement of the damaged areas of the myocardium has recently been overcome [120] [122]. The concept of cardiac myocyte regeneration took some time to be embraced by the medical community and it remained highly disputed [89]. Currently, only few queries in cardiac regeneration are definitively resolved but it is widely accepted that the cardiac tissue holds a certain degree of restoration capacity. Nevertheless, this innate renewal ability of the heart is far to be sufficient to compensate the severe loss of cardiac muscle which appears after catastrophic events such as myocardial infarction or other cardiac diseases [120].

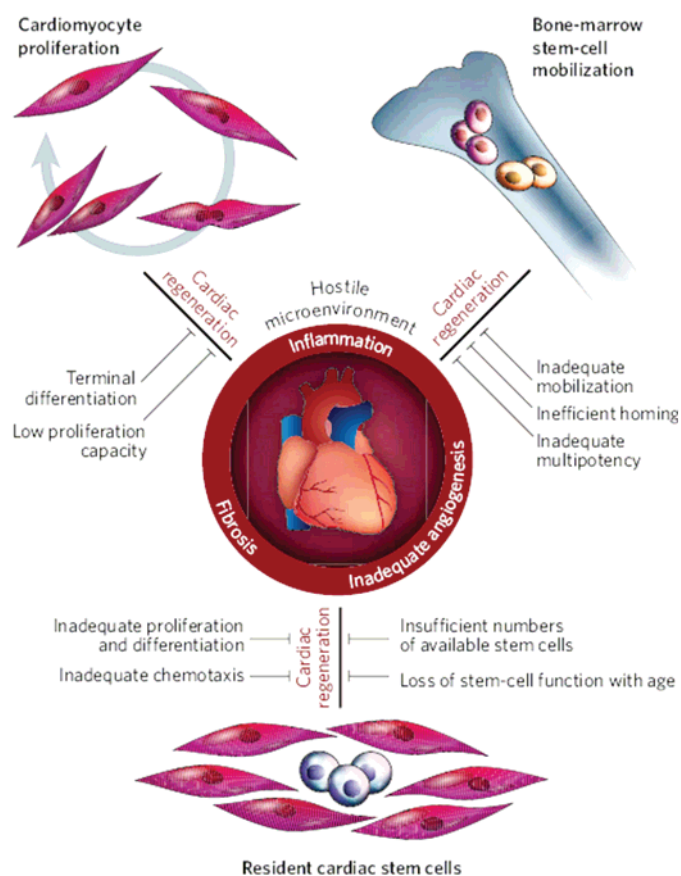
In contrast to the heart, the skeletal muscle (in mammals) is capable of efficient regenerative processes even after extensive insult [123] [124]. A large number of new myotubes is built by satellite cells and other types of myoblasts after few days from muscle injury. In the heart, a regenerative response after damage is well documented only in some vertebrates such as zebrafish and newts [125] [126]. In newts, cardiac myocytes undergo to mitosis very seldom in normal conditions but they re-enter the cell cycle as soon as an injury appears. In this species, the dedifferentiation and subsequent division of existing cardiomyocytes seems to be the central dynamic of cardiac regeneration [125].

In other vertebrates such as zebra fish, cardiac regeneration may be due mainly to activation and differentiation of undifferentiated stem or progenitor cells localized in the epicardial stratum of the heart [127]. On the contrary, in mammalian hearts, cardiac myocytes divide extensively only during foetal development and stop their cell cycling shortly after birth [128]. In fact in the adult mammals, cardiomyocytes proliferate very seldom after a serious injury, even if located at the border zone of the infarction [129] [130]. On the other hand, it has been shown in mice that transgenic overexpression of certain genes or repression of cell cycle inhibitors such as p38 MAP kinase can enhance cardiomyocytes proliferation [130] [131].

A much more significant renewal of the heart tissue comes from endothelial cells. It has been strongly demonstrated that bone marrow derived progenitor cells are capable

to give origin to mature endothelial cells but their ability to generate cardiomyocytes is still disputed [132]. In contrast, several investigators have now confirmed the existence in the mammalian myocardium of a population of resident cardiac stem cells (CSCs) which are able to differentiate into cardiac myocytes or other cell types such as endothelial and vascular smooth muscle cell [133] [134] [135]. CSCs have been proposed to maintain the cardiomyocyte basal turnover [125] [132]; however this mechanism takes place at a very low rate if there is no presence of injury [136].

The understanding that the mammalian heart is also interested by regenerative processes brings now the attention into the definition of the obstacles which could prevent regeneration, including inflammation, ischemia and remodeling (fibrosis) (Figure 3.1) [120]. The adverse microenvironment present into the insulted myocardium could counteract the desirable activity of both resident (CSCs) and exogenous stem cells (e.g. stem cell transplantation therapies).



**Figure 3.1.** Potential barriers to cardiac regeneration [120].

The response to injury corresponds to the activation of a concert of mechanisms that are definitely beneficial to some extent but become deleterious after a certain degree (e.g. inflammatory reaction that on one side promotes angiogenesis and progenitor cell recruitment and on the other prevents recruitment and survival of progenitor cells; or: protective mechanism of fibrosis against myocardium rupture and its action as very strong barrier to regenerating cells) [137]. A balance between beneficial and deleterious cardiac reaction to damage might favour revascularization and cell regeneration instead of apoptosis and fibrosis. A step forward to artificially control those optimal conditions for cardiac regeneration during response to injury would open novel prospects and direct more efficient stem cell therapies.

### 3.2. Stem cell therapies and stem cell sources

During the past decade, several sources of stem cells have been considered as suitable candidate for cardiac repair and numerous transplantation routes have been used [120] [attached manuscript 3]. The ideal cell type or the best transplantation route have not been clarified yet and the complete understanding of mechanisms at different levels is far to be accomplished. However, on the wave of promising results obtained with the animal models a number of clinical trial has been carried on in the last seven years (Table 3.1) [120].

Initially, autologous skeletal myoblasts were considered as possible candidate for cardiac regeneration and the cells were injected directly into the ischemic myocardium [138]. Although these cells differentiate into myotubes *in vivo* and improve ventricular functions in the animal model [138], they do not give origin to cardiomyocytes and do not integrate electrically with the surrounding cells [132]. Thus, they do not beat in synchrony with the myocardium and they lead to sustained arrhythmia. Clinical trials with skeletal myoblasts are ongoing but some have been stopped because of treatment inefficacy [139].

More successful source of cells for cardiac regeneration than skeletal myoblasts has come from the bone marrow. In fact, bone marrow derived haematopoietic cells (HSC) have been the first cells shown to differentiate into cardiac myocytes after transplantation in the mouse myocardium [140] [141]. Additional studies demonstrated direct differentiation of haematopoietic stem cells into cardiac myocytes after bone marrow transplantation and myocardial infarction; however the labelled cells that

originated new cardiomyocytes were extremely few [142]. In contrast, several experiments showed complete absence of hematopoietic stem cell differentiation into cardiac myocytes [143] [144] or ventricular function improvement [145].

| Cell type | N. of patient | Follow-up (months) | Number of cells injected | Route of injection | Ejection fraction vs control (%)† | Source                           |
|-----------|---------------|--------------------|--------------------------|--------------------|-----------------------------------|----------------------------------|
| BMMNC     | 60            | 12                 | 10 <sup>8</sup>          | Intracoronary      | +7.0 ( <i>P</i> = 0.03)           | Meluzin <i>et al.</i> (2007)     |
|           | 51            | 3                  | 2. · 10 <sup>8</sup>     | Intracoronary      | +4.1 ( <i>P</i> = 0.001)          | Assmus <i>et al.</i> (2006)      |
|           | 66            | 3                  | 10 <sup>8</sup>          | Intracoronary      | +3 ( <i>P</i> = 0.04)             | Meluzin <i>et al.</i> (2006)     |
|           | 204           | 12                 | 2.4 · 10 <sup>8</sup>    | Intracoronary      | Decreased mortality               | Schächinger <i>et al.</i> (2006) |
|           | 20            | 6                  | 4 · 10 <sup>7</sup>      | Intracoronary      | +6.7 (NS)                         | Ge <i>et al.</i> (2006)          |
|           | 20            | 4                  | 6 · 10 <sup>7</sup>      | TEIM               | +2.5 (NS)                         | Hendrikx <i>et al.</i> (2006)    |
|           | 67            | 4                  | 1.7 · 10 <sup>8</sup>    | Intracoronary      | +1.2 (NS)                         | Janssens <i>et al.</i> (2006)    |
|           | 100           | 6                  | 8.7 · 10 <sup>7</sup>    | Intracoronary      | -3.0 ( <i>P</i> = 0.05)           | Lunde <i>et al.</i> (2006)       |
|           | 60            | 18                 | 2.5 · 10 <sup>9</sup>    | Intracoronary      | +2.8 (NS)                         | Meyer <i>et al.</i> (2006)       |
|           | 36            | 3                  | 3 · 10 <sup>8</sup>      | TEIM               | +4.0 (NS)                         | Mocini <i>et al.</i> (2006)      |
|           | 204           | 4                  | 2.4 · 10 <sup>8</sup>    | Intracoronary      | +2.5 ( <i>P</i> = 0.01)           | Schächinger <i>et al.</i> (2006) |
|           | 36            | 3                  | 9 · 10 <sup>7</sup>      | Intracoronary      | +7.0 ( <i>P</i> = 0.02)           | Strauer <i>et al.</i> (2005)     |
|           | 20            | 12                 | 2.6 · 10 <sup>7</sup>    | TEIM               | +8.1 (NS)                         | Perin <i>et al.</i> (2004)       |
|           | 20            | 3                  | 2.8 · 10 <sup>7</sup>    | Intracoronary      | +1.0 (NS)                         | Strauer <i>et al.</i> (2002)     |
| CPC       | 54            | 6                  | 5 · 10 <sup>9</sup>      | Intracoronary      | +6.0 ( <i>P</i> = 0.04)           | Tatsumi <i>et al.</i> (2007)     |
|           | 73            | 6                  | 2 · 10 <sup>9</sup>      | Intracoronary      | +2.8 (NS)                         | Choi <i>et al.</i> (2007)        |
|           | 47            | 3                  | 2 · 10 <sup>7</sup>      | Intracoronary      | +0.8 (NS)                         | Assmus <i>et al.</i> (2006)      |
|           | 82            | 6                  | 1.4 · 10 <sup>9</sup>    | Intracoronary      | -0.2 (NS)                         | Kang <i>et al.</i> (2006)        |
|           | 70            | 6                  | 7.3 · 10 <sup>7</sup>    | Intracoronary      | +5.5 ( <i>P</i> = 0.04)           | Li <i>et al.</i> (2006)          |
|           | 26            | 3                  | 7 · 10 <sup>7</sup>      | Intracoronary      | +7.2 (NS)                         | Erbs <i>et al.</i> (2005)        |
| CD133+    | 27            | 6                  | NA                       | Intramyocardial    | NA                                | Ahmadi <i>et al.</i> (2007)      |
|           | 55            | 6                  | 6 · 10 <sup>6</sup>      | Intramyocardial    | +6.3 ( <i>P</i> = 0.02)           | Stamm <i>et al.</i> (2007)       |
|           | 35            | 4                  | 1.3 · 10 <sup>7</sup>    | Intracoronary      | +2.8 (NS)                         | Bartunek <i>et al.</i> (2005)    |
| CD34+     | 24            | 6                  | 3.5 · 10 <sup>7</sup>    | TEIM               | NA                                | Losordo <i>et al.</i> (2007)     |
| SMB       | 97            | 6                  | NA                       | Intramyocardial    | +3 ( <i>P</i> < 0.04)             | MAGIC (2007)                     |
|           | 26            | 12                 | 2.5 · 10 <sup>8</sup>    | Intramyocardial    | +14.5 ( <i>P</i> < 0.01)          | Gavira <i>et al.</i> (2006)      |
|           | 12            | 12                 | 2.1 · 10 <sup>8</sup>    | TEIM               | +11.6 ( <i>P</i> < 0.05)          | Ince <i>et al.</i> (2004)        |
| MSC       | 48            | 12                 | 5 · 10 <sup>6</sup>      | Intracoronary      | -3 (NS)                           | Chen <i>et al.</i> (2006)        |
|           | 69            | 6                  | 6 · 10 <sup>10</sup>     | Intracoronary      | +12.0 ( <i>P</i> = 0.01)          | Chen <i>et al.</i> (2004)        |
| MSC +     | 22            | 4                  | 3 · 10 <sup>6</sup>      | Intracoronary      | +0.3 (NS)                         | Katritsis <i>et al.</i> (2005)   |
| BMC       | 20            | 6                  | NA                       | Intracoronary      | +9.2 ( <i>P</i> < 0.05)           | Ruan <i>et al.</i> (2005)        |

**Table 3.1.** Overview of clinical trials of stem-cell or progenitor-cell delivery to the heart.

BMC, bone-marrow-derived cells (unspecified); BMMNC, bone-marrow mononuclear cell; CPC, circulating progenitor cell; DB, endothelial progenitor cell; MSC, mesenchymal stem cell; NA, not available; NS, not significant; SMB, skeletal myoblast; TEIM, transendocardial intramyocardial injection. \*The number of patients is the sum of individuals in the control and treatment groups; almost all studies have equal numbers in each group. †Ejection fraction is the proportion of blood in the left ventricle that is ejected into the aorta during each heartbeat; this is a measure of cardiac function. ‡Intramyocardial indicates injection through the epicardial side of the heart.

Nowadays, the effective ability of these cells to derive cardiomyocytes *in vivo* is still disputed. On the other hand, it is well accepted that a subset of bone marrow haematopoietic cells defined as endothelial progenitor cells (EPCs) have the potential to originate endothelial cells both *in vitro* and *in vivo* (often identified as CD133<sup>+</sup>VEGFR2<sup>+</sup>) [146] [147]. Most likely, EPCs do not contribute to direct differentiation into cardiomyocytes *in vivo* but they have a strong direct angiogenic potential [130] [146] and a cytoprotective role for the cardiac myocytes via paracrine signals [148]. Endothelial progenitors can be readily isolated from blood and bone marrow and several studies propose they improve myocardial functions [4] [5] [149] [150]. However, the characterization of EPCs is far to have a general consensus thus it is still difficult to compare different studies in which different types of cells were probably used [151].

Beside the haematopoietic tissue in the bone marrow, an additional source of multipotent stem cell resides in the supporting stroma and it is identified as mesenchymal stem cells (MSCs) [152]. MSCs are known to differentiate in osteoblasts, adipocytes and chondrocytes [153] but only a subset of mesenchymal stem cell population can differentiate into cardiomyocytes or neurons under definite conditions *in vitro* [8] [152] [153]. Mesenchymal stem cells have been shown to differentiate into cardiomyocytes *in vivo* as well, although at a very low rate [154] [155].

The potential advantages of MSCs are diverse: first of all, these cells can be readily separated from the haematopoietic compartment of the bone marrow and expanded *in vitro* for several passages (even 25, which correspond to 50 cell divisions). Therefore, from a limited amount of starting material such as 1 ml of human bone marrow aspirate, billions of mesenchymal stem cells could be obtained [152]. In addition, MSCs are known to be significantly less immunogenic than other stem cells, permitting transplantation of allogeneic cells [155]. Moreover, MSCs are widely accepted to hold a strong beneficial paracrine effect, which could support and preserve the other cells present in the injured myocardium [153] [156]. Finally, it seems possible to further increase the MSCs therapeutic potential using gene modification approach in order to induce the overexpression of pro-survival, angiogenic, growth or stem cell homing factors [102] [153].

MSCs present some disadvantages as well: for example, the differentiation behaviour of mesenchymal stem cells *in vivo* seems to be uncorrelated with their differentiation capacity *in vitro* creating several difficulties in the selection of the

optimal population for transplantation after manipulation [152]. Additional problems arise from the uncontrolled differentiation capacity which could lead to undesirable cell formations; indeed, MSCs have been found to give origin to bone-forming osteoblasts after transplantation in the mouse heart [7]. Moreover, it has been described that artificial cell expansion may switch deleterious mutation which could result in spontaneous immortalization and malignant transformation of adipose tissue-derived human MSCs [157], bone marrow-derived mouse MSCs [158] [159] [160] [161] and recently bone marrow derived rat MSCs [attached manuscript 4]. These results recall the attention on the importance of preventing mesenchymal stem cells from unrestrained differentiation or malignant transformation with consequent undesirable potential of sarcoma formation [120] [160].

A different source of stem cell that holds high consideration in regenerative medicine worldwide is the embryonic stem (ES) cell. Due to its characteristics, the embryonic stem cell seems to be the prototype of all stem cells; in fact, it has high clonality potential, self-renewal capacity and is totipotent [162] [163]. All together these features make ES cell an extremely powerful instrument which could, at least in theory, completely regenerate an organ like the myocardium. Some attempts in this direction have been done using embryonic stem cells in combination with collagen and extracellular matrix proteins (Matrigel®) [164] or in co-culture with optimal percentage of fibroblasts [165]. However, ES cell still presents some inconveniences such as high immunogenicity and widely documented teratoma induction after transplantation *in vivo* [132] [166] [167] [168]. Only if these problems will be overcome, the therapeutic potential of embryonic stem cells could be finally fully employed.

Some strategies to circumvent the teratoma formation might include genetic selection of differentiated ES cells [169] or ES differentiation into endothelial cells or cardiomyocytes *in vitro* before transplantation [170] [171] (e.g. it has been shown that TNF could induce the differentiation of embryonic stem cells into cardiomyocytes) [172]. However, more complications come from the unknown signalling mechanisms that might control the growth and the differentiation of ES cells; therefore it is crucial to further understand in details which are the pathways that are activated during development of these cells into differentiated tissues.

The discovery of the existence of endogenous cardiac stem cells (CSCs) into the heart which was considered until few years ago a postmitotic organ brought a highly innovative concept in regenerative medicine. The heart of mammals possesses a rare

population of stem cells which are identified by the cell-surface markers Kit [133] or Sca1 [173]. Cells expressing Kit and/or Sca1 are capable of cardiomyocytes generation *in vitro* and *in vivo* [174] and can be readily isolated on the basis of their surface markers [175]. Moreover, an additional population of cells defined by the expression of the transcription factor Isl1 has been shown to differentiate into endothelial, endocardial, smooth muscle, conduction system, right ventricular and atrial myogenic lineages during embryonic heart development [176]. Cells that express Isl1 are also present in the adult mammalian heart in the right atrium but are found in a smaller number than in the developing heart [134].

Human cardiac stem cells can be isolated and expanded after harvesting of samples of the myocardium by minimally invasive biopsy procedure [177] [178]. Therefore, autologous CSCs could be expanded *in vitro* and transplanted into patients with very small risk of immunoreaction or teratoma formation. However, due to the lack of consistent proof of CSCs effective and extensive differentiation into cardiomyocytes *in vivo*, it is difficult to define if the high CSCs self-renewal and plasticity observed *in vitro* is an artefact or not [120]. Until now there were not clinical trials utilizing these cells yet indicating that there are still several open questions that need to be answered before to move to the clinical application.

More recently, Takahashi and Yamanaka discovered that through the introduction of four defined factors (the genes: Oct3/4, Klf4, Sox2, and c-Myc) it is possible to revert a completely differentiated somatic cell such as the adult mouse tail fibroblast to an ES like cell that can undergo multilineage differentiation *in vivo* [179]. Following this result, other research groups confirmed independently these findings and the Yamanaka's team continued its work and showed the ability of these fibroblast-derived induced-pluripotent stem (iPS) cells to completely generate a new living mouse [180] [181] [182].

These cells could offer a very interesting alternative to embryonic stem cells which require a large number of donor human oocytes to be derived and for this reason raised a number of ethical and political questions [183]. Regrettably, due to the retroviral-mediated reprogramming origin of the iPS cells, viral oncogenesis (furthermore c-Myc is a well known oncogene) will greatly limit the clinical usage of these cells. In fact, it has been shown that up to 20% of the chimeric iPS mice developed tumours because of reactivation of c-Myc [180]. Nevertheless, the academic attention on the iPS cell is currently very high and iPS mediated repair of the heart after acute myocardial



infarction has been lately demonstrated by Nelson and Terzic [184]. Moreover, human iPS cells have been generated by reprogramming of differentiated human skin fibroblasts [185] [186] and alternative way to obtain human iPS have been uncovered [187]. If the undesirable tumor formation will be overcome in the future for example a patient affected by ischemic cardiomyopathy could supply his or her own skin fibroblast for derivation of “autologous” iPS cells. These cells could be proliferated *in vitro* for the generation of cardiac progenitor cells or cardiomyocytes which would be available for replacement of lost cardiomyocytes [183].

### 3.3. Haematopoietic stem cell mediated cardiac repair

The haematopoietic compartment of the bone marrow has been the favourite cell source for cell-transplantation-based therapies in the last seven years (clinical trials, Table 2). In the majority of these studies, the whole bone marrow mononuclear cell (BMMNC) population was utilized while in fewer trials the transplanted cells were enriched of specific subpopulation of myeloid stem cells (CD133<sup>+</sup>) or myeloid progenitors (CD34<sup>+</sup>). The outcomes of the clinical trials in which BMMNC were transplanted showed a general beneficial effect of the treatment and an increase in ejection fraction though with high variability within different studies [120]. The initiative to target a more defined population of haematopoietic progenitors, which is able to generate new vessels *in vivo* and has also direct or indirect cardiogenetic potential, could be the right way to further enhance the favourable effect of bone marrow derived stem cell transplantation [151].

To this end, Stamm and Steinhoff demonstrated that the intramyocardial injection of CD133<sup>+</sup> enriched BM derived cell provides beneficial effects and is promising to become a standard treatment after acute myocardial infarction [4] [5] [150] [188]. Following an experience of seven years and the achievement of phase I and phase II clinical trials they also confirmed the safety of CD133<sup>+</sup> cell isolation and transplantation [189] [190]. Other trials validated these findings demonstrating the feasibility and the benefits of CD133<sup>+</sup> cell treatment [191] [192], however, further clarification by randomized clinical Phase III trials is required [5].

At the moment, it is difficult to understand whether the selection of a specific haematopoietic subpopulation of BM derived cells is preferable to the use of the whole BMMN cells because the available data in human are still too few. On the other hand,

injection of high amount of circulating MN cells in the porcine model may induce moderate to severe hemorrhagic infarction with incidence of elevated number of CD45<sup>+</sup> cells in tissue (presumably undifferentiated haematopoietic cells or inflammatory cells) [147]. At present, it is still very complicated to decipher in details which are the correct markers or the best combination of markers that could identify the optimal haematopoietic cell subpopulation for the transplantation [151].

### 3.4. Mesenchymal stem cell and myocardial regeneration

As described before, mesenchymal stem cells can be easily isolated from bone marrow and expanded *in vitro* until extremely high number of cells are obtained [152]. Moreover, MSCs can be derived from several other tissues such as adipose [193], umbilical cord blood [194], peripheral blood [195], connective tissues of dermis and skeletal muscle [196].

Bone marrow derived mesenchymal stem cells (BMMSCs) have been employed to regenerate the injured myocardium with a moderate success in a number of animal models [155] [197] [198] [199]. In the clinical translation for myocardial repair, Chen *et al.* applied BMMSCs to the patients and reported significant improved left ventricular function after intracoronary application of elevated number of cells ( $6 \times 10^{10}$ ) [200]. In a successive study, the same group showed that a considerably lower amount of cells ( $5 \times 10^6$ ) did not significantly affect the ejection fraction when compared to untreated patients [120]. Beside the evaluation of cardiac function, in these trials there were neither information regarding MSCs culture conditions nor the evaluation of myocardial injuries after coronary cell infusion [199]. Indeed, while BMMNC transplantation safety has been consistently demonstrated [201], the assessments of possible adverse consequence of mesenchymal stem cells application are only recently ongoing [199].

Compared to BMMN cells, MSCs have to be cultured for some time *in vitro* in order to reach a certain degree of purity and an adequate number prior to transplantation. During cell manipulation (*ex vivo* expansion) microbial contamination could unfavourably affect the quality of the cell preparation or unexpected mutation may induce genetic transformation [attached manuscript 4]. In addition, the serum present in the culture medium might induce MSCs alteration with consequent host immune rejection [199]. Further troubles could appear since cultured MSCs show significantly larger dimensions compared to BMMNC (mean diameter: 20µm VS 10-

12µm, respectively) thus serious complications such as coronary embolism, pulmonary embolism and microinfarction might occur after direct intracoronary infusion [199] [attached manuscript 3].

### 3.5. Mechanisms of stem cell mediated cardiac repair

The stem cell therapy was born following the rousing finding of an accessible source of undifferentiated cells which could have, after direct transdifferentiation, completely rebuilt complex organs such as the myocardium. Several independent studies have verified in the animal model that stem cells from diverse sources are capable of partial functional restoration of various organs. In the specific case of the cardiac repair, it has been only lately emphasized that the degree of the restitution of functionality can not be merely awarded to the rare direct differentiation of stem cell into cardiomyocytes, smooth muscle or endothelial cells [156] [175]. Moreover, the provide evidence of new myocardium originated by transplanted stem cells has been repeatedly disputed and attributed presumably to fusion of the injected cells with resident cells other than direct differentiation [143] [144].

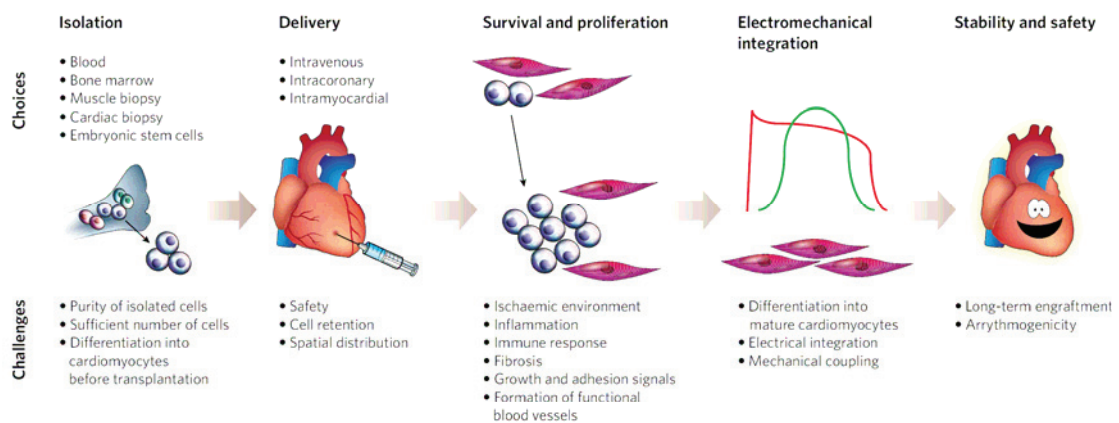
As an alternative explanation, it has been proposed that the observed improvements in cardiac function might be driven by paracrine mechanisms [156] [202] [203] [204]. Most likely, the mechanisms underlying cardiac reparative effects of transplanted stem cells might be both: direct and indirect. Stem cells could directly induce beneficial effects through long term engraftment and differentiation [205] and indirectly through immunomodulation and activation of host stem cells in a paracrine fashion [202] [203] [204] [206].

### 3.6. Challenges and future directions

The challenges to stem cell therapies for cardiac regeneration are numerous (Figure 3.2). One of the first questions that need to be answered is which type of stem cell or progenitor cell is the most suitable candidate for transplantation [8]. In order to do so, it is of primary importance to deeply understand the mechanisms by which different types of stem or progenitor cells can improve cardiac performance [9] [132]. To identify the best stem cell source, it is also necessary to test the safety of long term transplantation of each type of stem and progenitor cell in order to exclude the possibility of deleterious

side complications such as sarcoma or teratoma formation [132] [160] [166] [attached manuscript 4]. Only after accurate investigation it will be possible to achieve more rational cell-based approaches for cardiac diseases and perhaps target the best stem cell candidate for a specific cardiac pathology (e.g. acute myocardial infarction and chronic ischemic cardiomyopathy could require different types of stem or progenitor cell) [120].

An additional issue that needs to be addressed for stem cell therapies is defining the most favourable administration route [attached manuscript 3]. Stem cells have been injected intravenously, into coronary arteries or directly into the myocardium [120]. Reports of numerous phase I trials revealed the occurrence of few serious adverse effects [149]; however, the capacity of cells to remain in the area of injury is highly dependent on the delivery strategy [8] [138] [attached manuscript 3].



**Figure 3.2.** Challenges to stem cell therapies for cardiac diseases. The effective cardiac regeneration with stem cell therapy will entail cautious consideration at each step from cell isolation to their stable and safe long term engraftment [120].

Finally, another challenge that needs to be faced is the survival of the cells in the inflammatory environment of the infarcted myocardium, indeed generally up to 90% of the transplanted cells die within a week [132].

Stem cell based therapies for cardiac repair will need the identification of appropriate structural and functional properties for cell graft and the improvement of survival and long term electromechanical stability / integration of the cells into the damaged tissue [207]. Survival and integration of the cell after transplantation could be enhanced by the usage of matrices like collagen [138] or Matrigel<sup>®</sup> [170]. The process could be further

ameliorated with the concomitant induction of revascularization and simultaneous nanofibers mediated growth factor release [208]. Another direction could include the identification of the stem cell-derived paracrine factors which are responsible for the beneficial effect of stem cell transplantation and their employment as therapeutic agents. The direct administration of cytokines or growth factors could be much more controllable and reproducible than transplantation of heterogeneous population of stem or progenitor cells.

## 4. Drugs driven stem cell mobilization

### 4.1. Granulocyte colony-stimulating factor

The recognition of different cell types with cardiogenic potential has raised attention in restoring cardiac function by the activation and mobilization of endogenous stem and progenitor cells without the need of *ex vivo* cell manipulation. Proangiogenic factors released by ischemic tissues or applied exogenously could mobilize EPCs to the area of injury and improve blood perfusion [9] [175].

In addition to the mobilization stimulus, which recruits stem cells from the bone marrow to the peripheral blood, homing signals might be crucial to lead the cells to the infarcted myocardium. It has been shown that the chemoattractant cytokine stromal derived factor 1 (SDF-1 $\alpha$ ) can induce homing of EPCs to the heart [209] and can influence EPCs rolling and adhesion capacity on the microvascular endothelium (rolling and adhesion is considered to be the first stage of extravasation) [210]. Furthermore, not only haematopoietic stem or progenitor cells are circulating in the peripheral blood but also mesenchymal stem cells can be found there although in a very limited number [211]. This finding suggested that non-haematopoietic stem or progenitor cells might have the ability to home to damaged tissues.

Moreover, other stem cells like CSCs reside in clusters (niches) in the heart in a silent status and could be activated by specific factors [177]. These factors that could stimulate CSCs to leave their putative niches and move to an injured area have not yet been identified. However, other cytokines or growth factors that are capable of haematopoietic stem and progenitor cell mobilization have been already defined and some of them e.g. the granulocyte colony stimulating factor (G-CSF) are in use since years in the clinical setting [212] [213] [214] [215] [216].

G-CSF induces the proliferation and differentiation of hematopoietic progenitor cells and the release of mature granulocytes from bone marrow. It also triggers the mobilization of CD34<sup>+</sup> haematopoietic stem cells in circulating blood [212] [214]. Due to its features G-CSF has been utilized successfully in various preclinical investigations as agent to counteract myocardial damage after myocardial infarction [217] [218] [219]. Following these encouraging outcomes in the animal model, some clinical trials have also been performed demonstrating that G-CSF treatment is safe but does not seem to induce significant improvement in ventricular function after acute MI in unselected

patients [220] [221]. However, meta-analysis of randomized controlled trials suggested that G-CSF treatment might be beneficial when started early and when administered to acute MI patients with LV dysfunction [221].

The interest in recognizing the pivotal molecules which are able to activate the host stem and progenitor cell is rising constantly. The identification of such factor or combination of factors could ease the complications which are now linked to stem cell therapies like e.g. the individuation of the ideal stem cell type, *ex vivo* manipulation of the cells, long term side effects of transplantation and immunoreaction.

#### 4.2. Erythropoietin

Erythropoietin (EPO) is a hypoxia-induced glycoprotein hormone that stimulates the proliferation and differentiation of erythroid precursor cells in order to counteract diminished oxygen levels, including those caused by anaemia and hypoxia [222] [223]. It can inhibit hypoxia-induced apoptosis of cardiomyocytes and protect cardiomyoblasts from hydrogen peroxide-induced injury [224] [225] [226]. EPO can also induce neovascularization [227], reduce the infarction size and confer effective cardiac protection against ischemia-reperfusion injury and chronic heart failure [228] [229] [230]. This combined evidence has fuelled significant interest in the potential use of EPO as a cytoprotective agent in the cardiovascular system.

Numerous studies revealed that the transplantation of endothelial progenitor cells (EPCs) isolated from bone marrow (BM) and peripheral blood results in neovascularization of the ischemic tissues [231] [232] [233] [234]. Current findings demonstrate EPO treatment can mobilize EPCs in animals and humans [235] [236] [237] and increase the adhesive and proliferative properties of circulating EPCs [238]. However, it is still not clear whether EPO-mobilized EPCs can migrate into the ischemic myocardium and participate in the process of myocardial regeneration.

One of the aims of this thesis was to evaluate the therapeutic efficacy of intracardiac EPO injection in the infarcted heart. We assessed if intracardiac injection of EPO could recruit stem and progenitor cells to the infarcted heart by activating stem cell homing signalling to promote cardiac regeneration after myocardial infarction [attached manuscript 1].

Our findings demonstrated that a single intracardiac injection of EPO (3000U/kg) after MI significantly up-regulated stem cell homing factor SDF-1 $\alpha$  and increased the

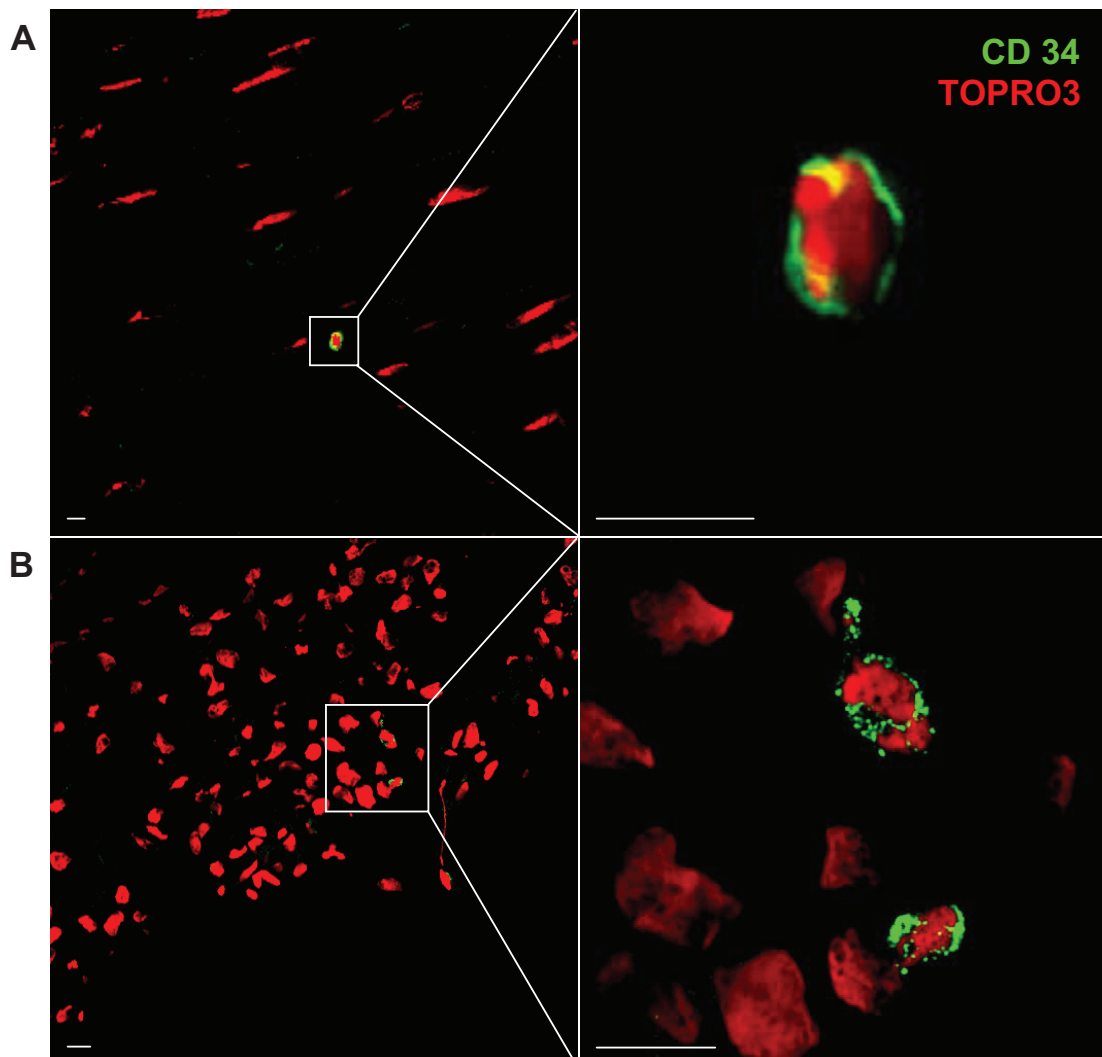
number of c-Kit<sup>+</sup> and CD34<sup>+</sup> stem cells initially (24h) in the peripheral blood and subsequently (48h) in the myocardium (Figure 4.1). Moreover, the local administration of EPO: reduced infarction size, inhibited cardiac remodeling (decrease of: myocardium loss, cardiomyocyte compensatory growth and interstitial fibrosis), enhanced cardiomyocyte protection (reduced apoptosis and up-regulation of pro-survival factor Bcl2 mRNA) and improved cardiac function (Table 4.1 and 4.2) [attached manuscript 1 and 2]. We also found reduced cardiac troponin T (cTnT) plasma levels and improved right ventricle (RV) loading conditions in EPO treated animals, which may indicate a better quality of life and reduced long-term mortality secondary to heart failure [239] [240].

The local administration of EPO described in the attached manuscripts 1 and 2 might achieve the same high local concentration reached by a prolonged systemic approach but with the reduction of complications associated with prolonged systemic administration. Recently, two independent studies indicated that the cardioprotection associated with EPO treatment might be dose-dependent, with higher doses of Darbepoetin- $\alpha$ , an EPO analogue, producing better protection than lower doses against anterior wall thinning, LV dilatation, and LV systolic dysfunction [241] [242]. However, prolonged EPO exposure is likely to cause significant adverse effects on haematocrit and blood flow properties, resulting in vascular thrombosis and even excess mortality [243] [244]. In this study, EPO treatment was accomplished with a single intracardiac injection, which did not lead to intramural thrombus formation or abnormal effects on haematocrit. Moreover, circulating EPO levels were traced and side effects on haematocrit and thrombus formation in other organs have not been observed. We suggest that a single, intracardiac administration of EPO may represent an alternative to systemic delivery, reducing the dose required for effective cardioprotection and minimizing side effects by limiting the potential for systemic toxicity and vascular thrombosis.

The underlying mechanism by which intracardiac injection of EPO improves cardiac function after MI has not been clearly identified, but our results highlighted several beneficial dynamics acting in concert: (1) preservation of viable myocardium from ischemia (indicated by reduction of infarction size) and revascularization. (2) Mobilization of CD34<sup>+</sup> and c-Kit<sup>+</sup> stem/progenitor cells in peripheral blood at 24 hours. (3) SDF-1 $\alpha$  up-regulation which induced early recruitment of stem/progenitor cells to the infarcted heart at 48 hours (Figure 4.2). (4) Anti-inflammatory and



cytoprotective direct effects of EPO. Most likely, EPO mediated directly: cytoprotection and angiogenesis and indirectly: (through stem/progenitor cells activation, mobilization and homing) partial regeneration of the myocardium and a redundant beneficial effect enhanced by stem/progenitor cell paracrine action (further cytoprotection and angiogenesis) [attached manuscripts 1 and 2].



**Figure 4.1.** Representative images for CD34<sup>+</sup> (green) cells (square) in NIZ (A, Bar = 5  $\mu$ m) and IZ (B, Bar = 10  $\mu$ m) at 48 hrs after EPO treatment. Red, TOPRO3 in nuclei [attached manuscript 1].

Despite our encouraging findings, the exact effects of EPO on the endogenous cardiac stem cells (CSCs) are still not clear. EPO has been shown to regulate the proliferation and differentiation of embryonic and adult neural stem cells *in vitro* and *in*

*vivo* [245]. As previously mentioned, it is known that adult CSCs, negative for the expression of blood lineage markers (Lin<sup>-</sup>) but positive for stem cell marker c-Kit, are multipotent and support myocardial regeneration [133]. Hence, it can be speculated that EPO delivered by intracardiac injection may also mediate CSCs proliferation and differentiation to regenerate the infarcted myocardium. Further studies need to be conducted in order to address this hypothesis.

The molecular mechanisms associated with EPO-mediated cardiac protection have not been satisfactorily elucidated. In this study, we observed increase in the mRNA levels of pro-survival signal Akt and its downstream target eNOS at 24 and 48 hours, which might be closely associated with cardioprotective effects of EPO. Our findings are consistent with previous reports that indicated EPO protects cardiomyocytes from apoptosis via up-regulation of eNOS and activation of Akt [246] [247] and that eNOS is required for SDF-1 $\alpha$ -mediated c-Kit<sup>+</sup> HSCs directional migration [210].

As previously written, myocardial necrosis progresses within 2-6 hours after the onset of MI [16] [18] and prompt reperfusion within this narrow time window significantly decreases early mortality [248]. Administration of EPO during the therapeutic window significantly reduces infarction size and improves cardiac function [224] [225] [226]. Hence, local injection of EPO during emergency coronary artery bypass graft surgery after acute MI could be an optimal approach for EPO treatment.

This study suggests that the beneficial effects of EPO treatment might be closely associated with the targeted migration of stem/progenitor cells. Moreover, EPO therapy is effective and feasible when delivered directly into the myocardium using a clinically relevant approach. The results reported herein establish EPO as a stem cell modulating hormone that facilitates cardiac regeneration. The effective pharmacological agents (such as EPO) that may be applied during coronary interventions or cardiac surgery to promote early cardiac preservation and stem cell activation are highly desirable. Accordingly our present findings have obvious translational implications for the treatment of patients with acute coronary syndrome. Further development of the concept will reveal whether these encouraging animal data can be translated into clinical applications [attached manuscripts 1 and 2].

| Parameter              | Sham<br>(n=11)     | MIC<br>(n=14)     | MI-EPO<br>(n=11)   | <i>P</i> * |
|------------------------|--------------------|-------------------|--------------------|------------|
| Pmax (mmHg)            | 147.74 ± 3.36      | 114.95 ± 6.94     | 126.70 ± 7.12      | 0.255      |
| dp/dt max (mmHg/s)     | 10942.50 ± 276.37  | 5815.23 ± 335.97  | 7374.84 ± 525.45   | 0.016      |
| -dp/dt max (mmHg/s)    | -10137.44 ± 281.57 | -3453.68 ± 121.38 | -4743.93 ± 480.98  | 0.007      |
| Relaxation time (msec) | 8.05 ± 1.53        | 19.74 ± 1.40      | 13.07 ± 1.37       | 0.003      |
| EDV (μl)               | 211.30 ± 14.62     | 312.44 ± 27.98    | 313.56 ± 23.52     | 0.784      |
| ESV (μl)               | 103.24 ± 5.96      | 225.36 ± 20.04    | 187.49 ± 15.60     | 0.101      |
| SV (μl)                | 108.06 ± 10.31     | 87.11 ± 11.52     | 126.07 ± 12.18     | 0.031      |
| EF (%)                 | 50.45 ± 2.08       | 27.12 ± 1.78      | 40.05 ± 2.42       | <0.001     |
| SW (μlxmmHg)           | 13124.94 ± 1694.95 | 6166.67 ± 846.91  | 11742.17 ± 1352.87 | 0.001      |
| HR (1/min)             | 418.16 ± 10.19     | 359.61 ± 19.73    | 409.09 ± 11.32     | 0.055      |

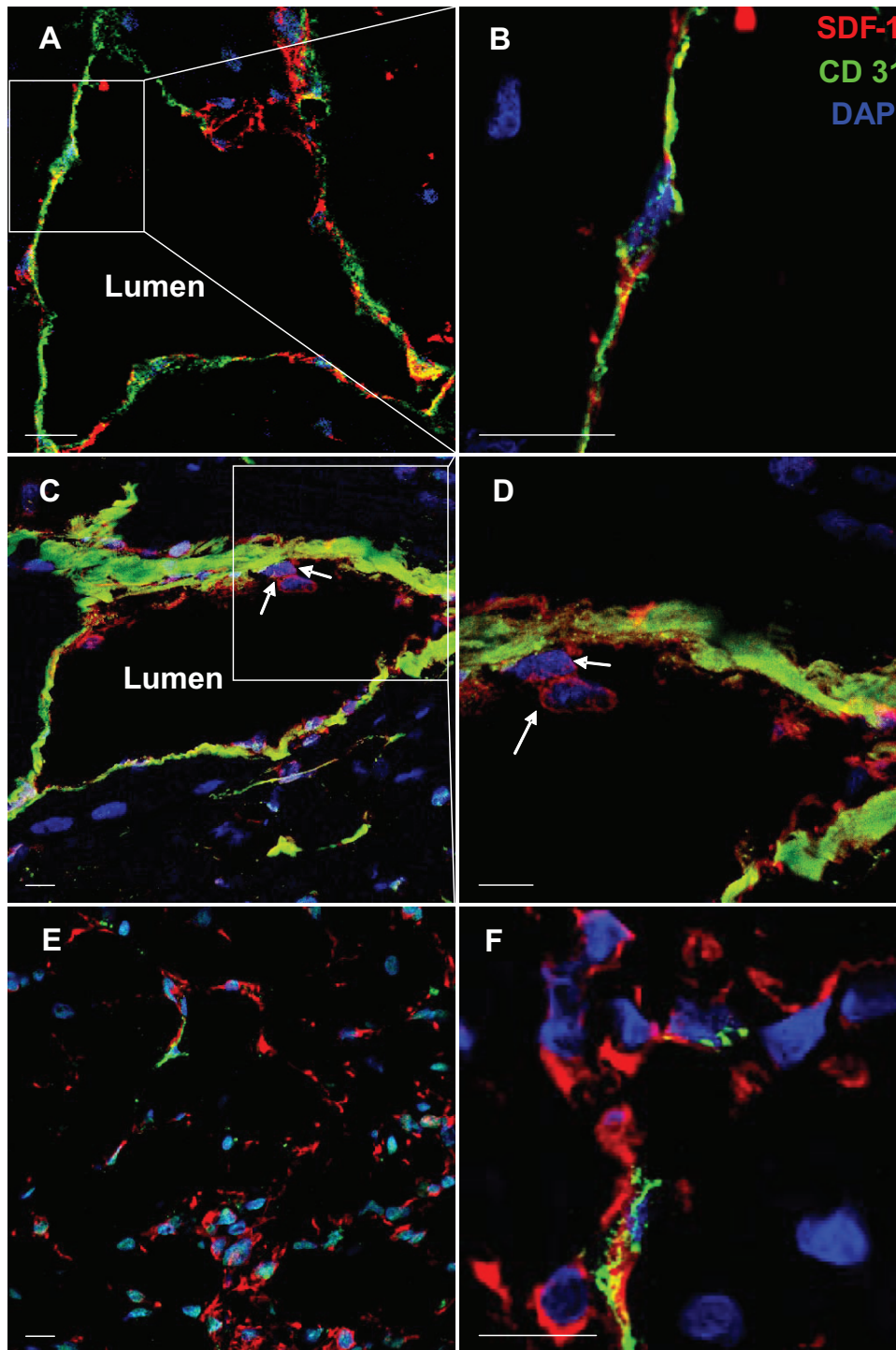
**Table 4.1.** Hemodynamic of the LV under Baseline Conditions 6 weeks after MI

Values are represented as Mean ± SEM, \* MIC vs. MI-EPO, Pmax means maximum pressure; dp/dt indicates peak rate of maximum pressure rise (dp/dt max) and decline (-dp/dt max); EDV, end-diastolic volume; ESV, end-systolic volume; SV, stroke volume; EF, ejection fraction; SW, stroke work; and HR, heart rate.

| Parameter              | Sham<br>(n=11)     | MIC<br>(n=14)     | MI-EPO<br>(n=11)   | <i>P</i> * |
|------------------------|--------------------|-------------------|--------------------|------------|
| Pmax (mmHg)            | 144.54 ± 3.74      | 124.08 ± 3.34     | 131.05 ± 4.37      | 0.209      |
| dp/dt max (mmHg/s)     | 18962.22 ± 358.66  | 9529.60 ± 490.22  | 12456.81 ± 726.55  | 0.002      |
| -dp/dt max (mmHg/s)    | -9418.62 ± 349.47  | -5421.46 ± 355.71 | -6741.31 ± 538.93  | 0.045      |
| Relaxation time (msec) | 5.95 ± 1.04        | 13.76 ± 1.51      | 8.92 ± 1.13        | 0.022      |
| EDV (μl)               | 186.24 ± 14.14     | 299.74 ± 30.16    | 315.01 ± 16.06     | 0.684      |
| ESV (μl)               | 48.08 ± 5.23       | 203.67 ± 21.44    | 160.20 ± 16.02     | 0.136      |
| SV (μl)                | 138.06 ± 10.06     | 96.13 ± 13.37     | 154.77 ± 20.15     | 0.019      |
| EF (%)                 | 74.44 ± 1.54       | 31.61 ± 2.25      | 48.39 ± 5.01       | 0.003      |
| SW (μlxmmHg)           | 16336.62 ± 1334.16 | 7428.62 ± 1050.69 | 14937.78 ± 1971.47 | 0.004      |
| HR (1/min)             | 474.30 ± 10.06     | 429.92 ± 8.29     | 459.30 ± 7.85      | 0.019      |

**Table 4.2.** Hemodynamic of the LV under Stress Conditions 6 weeks after MI

Values are represented as Mean ± SEM, \* MIC vs. MI-EPO, Pmax means maximum pressure; dp/dt indicates peak rate of maximum pressure rise (dp/dt max) and decline (-dp/dt max); EDV, enddiastolic volume; ESV, endsystolic volume; SV, stroke volume; EF, ejection fraction; SW, stroke work; and HR, heart rate.



**Figure 4.2.** (A-D) EPO injection up-regulated SDF-1 $\alpha$ . In NIZ of MI-EPO, most SDF-1 $\alpha$  + cells (red) co-localized with CD31 (green). Occasionally, cell adhesion (arrows in C and D) with the SDF-1 $\alpha$  + endothelial cells was visible. (E, F) In contrast, a number of SDF-1 $\alpha$  + cells (red) in IZ of MI-EPO hearts did not co-localize with CD31 (green). Scale bars = 10  $\mu$ m. Blue, DAPI in nuclei [attached manuscript 1].

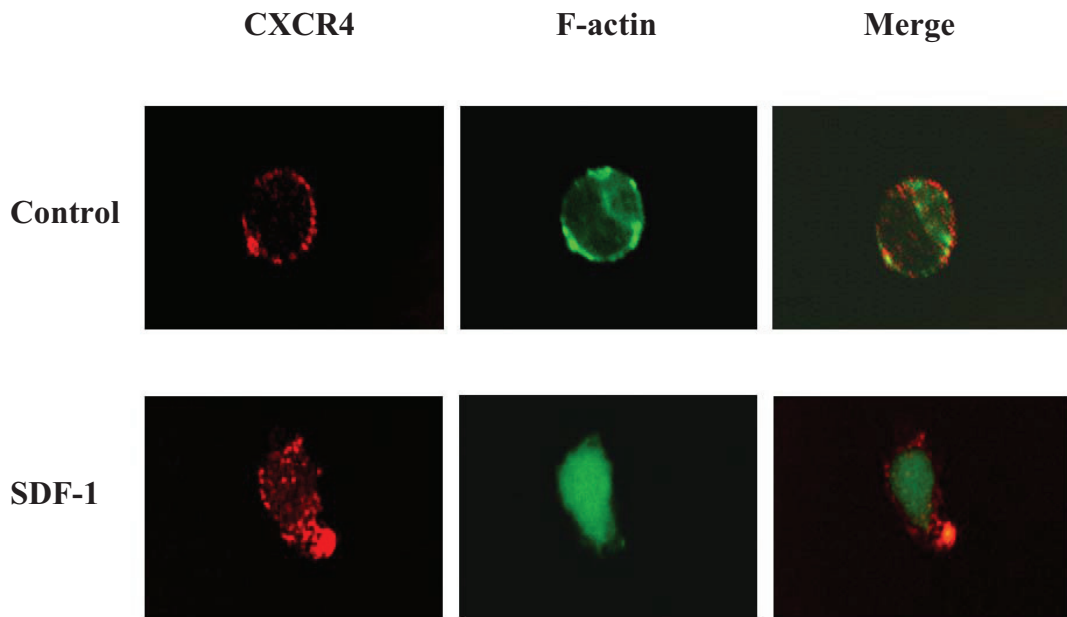
## 5. Molecular mechanisms of stem cell mobilization and homing

### 5.1. SDF-1 $\alpha$ mediated mechanisms of stem cell mobilization

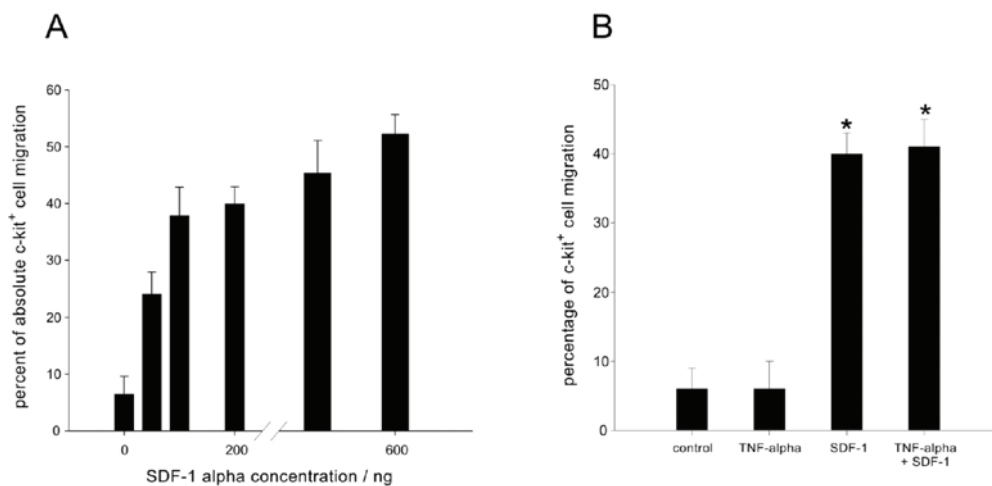
The crucial role of chemokines in stem cell trafficking has been intensively investigated during the last years and the central function played by SDF-1 $\alpha$ /CXCR4 axis has been broadly highlighted [210] [249]. SDF-1 $\alpha$  is a survival and proliferation factor for haematopoietic stem cells [250] [251]. It is produced in many organs, including the bone marrow where is expressed by stromal cells (e.g. osteoblasts) [252]. SDF-1 $\alpha$  is a powerful chemoattractant for immature and mature hematopoietic cells of several lineages [253] [254] [255] [256]. Its receptor CXCR4 is expressed on lymphocytes, myeloid cells, megakaryocytes and HSCs and facilitates these cells to migrate across a gradient of SDF-1 $\alpha$  concentrations [257] [258] [259].

In normal conditions, bone marrow stromal cells establish a local SDF-1 $\alpha$  concentration gradient that is the primary signal for stem cells (SCs) homing [260]. During the mobilization of stem cell, the level of SDF-1 $\alpha$  decreases in the BM while the expression of CXCR4 rises creating a gradient of chemoattraction to the peripheral blood [257]. The decrease of SDF-1 $\alpha$  level in the BM is primarily due to its degradation by induced proteases such as cathepsin G, matrix metalloproteinases and neutrophil elastase [261] [262] [263] [264]. These proteases also trigger the degradation of central adhesion molecules such as very late antigen-4 (VLA-4, an integrin dimer composed of CD49d, integrin- $\alpha$ , and CD29, integrin- $\beta$ ) and its cognate receptor: vascular cell adhesion molecule-1 (VCAM-1) [249] [265]. Altogether: SDF-1 $\alpha$  gradient reversion, CXCR4 upregulation and adhesion molecules degradation initiate the release of stem cells from the bone marrow to the peripheral blood [249].

An additional mechanism for SCs mobilization mediated by direct SDF-1 $\alpha$  stimulation has been proposed lately by our research group. We showed that SDF-1 $\alpha$  treatment *in vitro* directly induce the modification of F-actin cytoskeleton and the distribution of CXCR4 receptor in c-kit<sup>+</sup> cell [210]. In our *in vitro* model, SDF-1 $\alpha$  stimulation provoked the polarization of CXCR4 surface marker at the filopodia and a rearrangement of the actin cytoskeleton of c-kit<sup>+</sup> cells. The redistribution of CXCR4 and the formation of membrane protrusions were consistent with the *in vitro* cell migration assay (Boyden chamber) (Figure 5.1 and 5.2) [210].



**Figure 5.1.** Confocal laser microscopy of F-actin and CXCR4 protein distribution in c-kit<sup>+</sup> cells after SDF-1 $\alpha$  treatment *in vitro*. c-kit<sup>+</sup> cells were extracted after migration in the Boyden chamber assay with and without SDF-1 $\alpha$  stimulation. In cells without SDF-1 $\alpha$  stimulation, CXCR4 (red fluorescence) and F-actin (green fluorescence) expression was equally distributed along the plasma membrane. After SDF-1 $\alpha$  treatment, a reorganization of the cytoskeleton represented by a modified intracellular F-actin distribution and a concentration of CXCR4 protein at the filopodia have been detected (magnification:  $\times 1000$ ).



**Figure 5.2.** Quantitative analysis of c-kit<sup>+</sup> cell migration in Boyden chamber assays. Data are expressed as mean  $\pm$  SD (\*P<0.05 vs control).

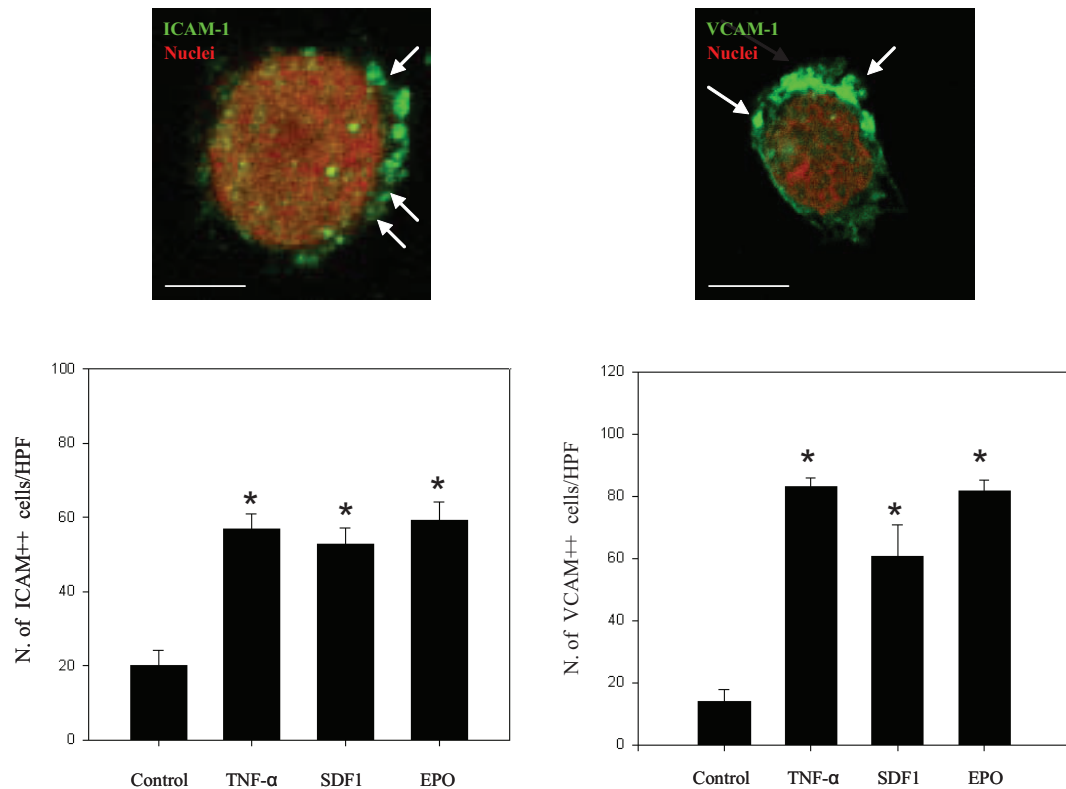
## 5.2. SDF-1 $\alpha$ and EPO mediated mechanisms of SCs transmigration

The initial phases of transmigration of stem cells in the surrounding tissues are characterized by the interactions of circulating cells with the vascular endothelium. These processes are defined as rolling and adhesion and are regulated by growth factors, chemokines and cytokines released in the extracellular milieu as well as receptors expressed in both stem cells and endothelial cells [210].

It has been shown that MSCs interaction with the endothelium occurs through P-selectin-mediated rolling and VCAM-1/VLA-1-mediated firm adhesion [266]. Moreover, the firm adhesion of leukocyte in the cremaster muscle induced by TNF- $\alpha$  seems to be mediated by an upregulation of local intercellular adhesion molecule-1 (ICAM-1) [267] [268]. Regarding HSCs (CD34<sup>+</sup>) mobilization processes, several studies have been reported on the involvement of adhesion molecules such as CD49d (VLA-4); CD49e (VLA-5) and its cognate receptor, vascular adhesion molecule-1 (VCAM-1) [269] [270] [271] [272]; LFA-1 (CD18/CD11a) and its cognate receptor, intracellular adhesion molecule-1 (ICAM-1); and CD62L (L-selectin) and its cognate receptor on endothelial cells [273] [274] [275] [276].

Our group provided novel information about the mechanisms that regulate SDF-1 $\alpha$  and EPO mediated homing of SCs. We demonstrated that SDF-1 $\alpha$  induced firm adhesion of HSCs is abolished by desensitization of SDF-1 $\alpha$ /CXCR4 signalling pathway and by blockage of ICAM-1 on the microvascular endothelium [210]. Additionally, we found that cytokines and growth factor such as SDF-1 $\alpha$  and EPO are capable to directly stimulate the upregulation of pivotal adhesion molecules (e.g. ICAM-1, VCAM-1, P-selectin) in endothelial cells *in vitro* (Figure 5.3) [data not published]. Thus in accordance with other studies, endogenous cytokines or exogenously administered growth factors and cytokines could facilitate the process of engraftment directly acting on adhesion molecules regulation [249] [267] [268] [277].

In our SDF-1 $\alpha$  study we highlighted a new mechanism on peripheral stem cell–endothelium interactions. We discovered that eNOS is required for SDF-1 $\alpha$  mediated adhesion of SCs on the microvascular endothelium [210]. Furthermore, the inhibition of nitric oxide synthases (NOS) enzymes by L-NAME in presence of SDF-1 $\alpha$  stimuli decreased the adhesion of c-kit<sup>+</sup> cell inducing major adhesion of endogenous leukocytes [210].

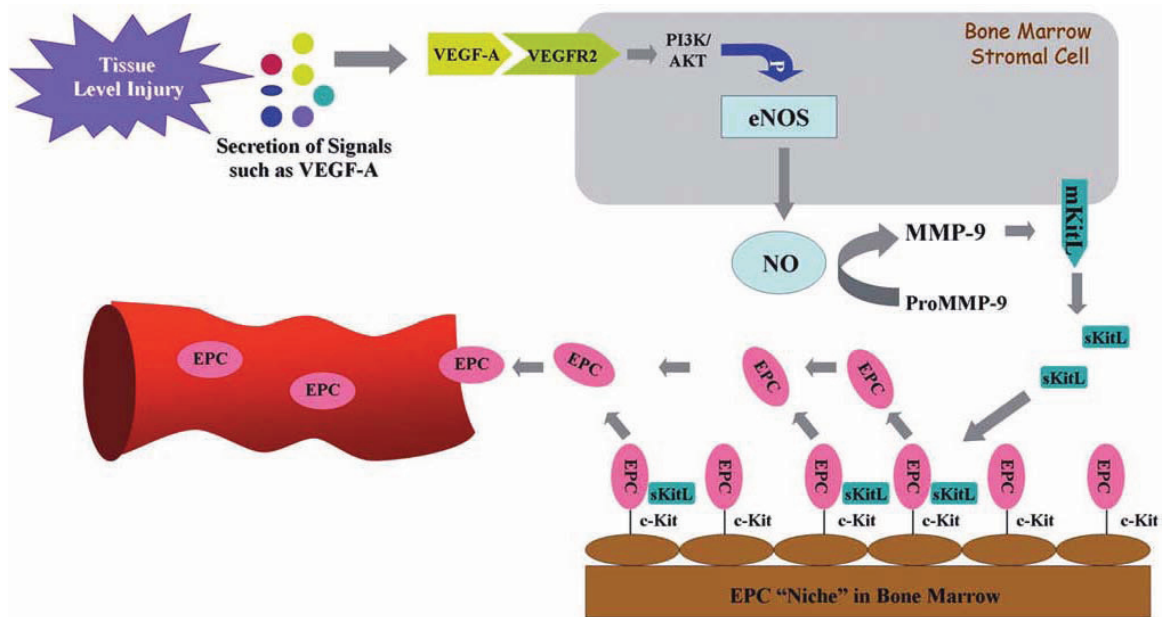


**Figure 5.3.** Representative confocal microscope images of adhesion molecules expression. Scale bars = 40  $\mu\text{m}$ . Red, TO-PRO3 in nuclei. Quantitative analysis of SVEC cell overexpression of ICAM-1 and VCAM-1, after *in vitro* stimulation with SDF-1 $\alpha$  and EPO. TNF- $\alpha$  is used as positive control. Data are expressed as mean  $\pm$  SD (\* $P$ <0.05 vs control).

### 5.3. eNOS and EPO mediated mechanisms of SCs mobilization and homing

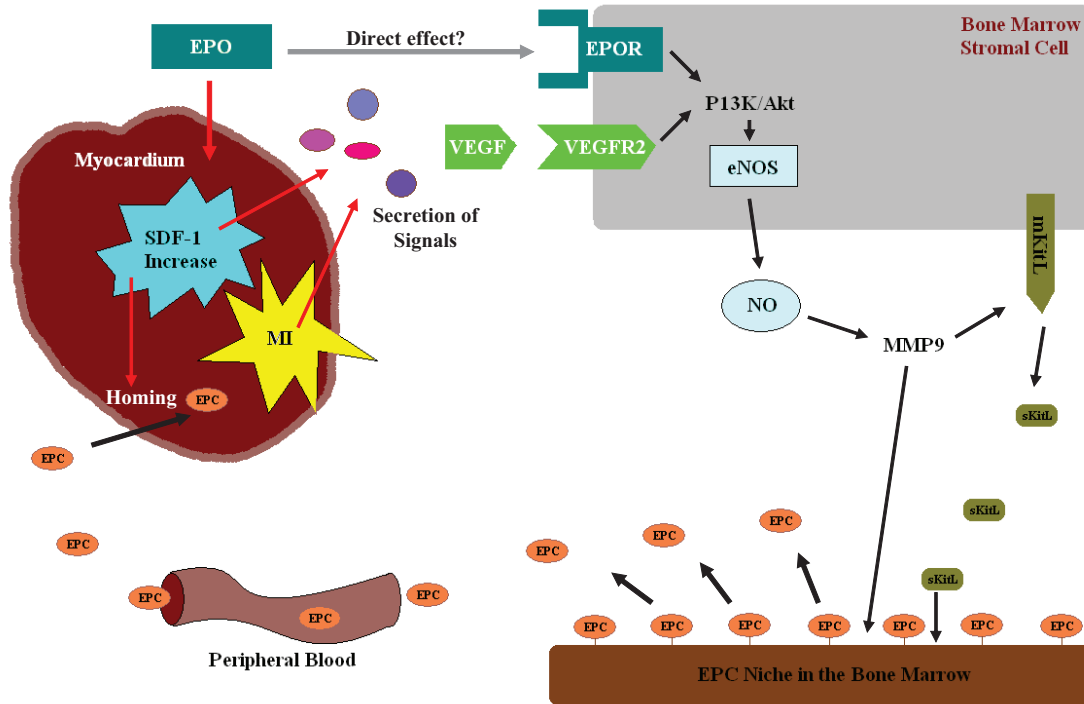
On the other hand, the essential role of eNOS for SCs mobilization has been already underlined in bone marrow stromal cell. The nitric oxide (NO)-mediated signalling pathways have been shown to be necessary for SCs mobilization [278] [279] [280] [281]. Aicher *et al.* [279] [282] were able to demonstrate that endothelial nitric oxide synthase (eNOS) is activated in bone marrow stroma by a proximal stimulus (in this case VEGF); downstream, NO then undergoes S-nitrosylation by paracrine mechanisms and triggers MMP-9, which releases a stem cell-active cytokine, soluble Kit ligand (sKitL) (Figure 5.4) [278]. sKitL leads endothelial progenitor and hematopoietic stem cells to switch from a quiescent to a proliferative niche and induces fast stem cell mobilization to the peripheral blood [279] [282].





**Figure 5.4.** eNOS–NO–MMP-9–KitL. Signal molecules, such as VEGF, are released by injured tissue. VEGF and other mobilizing stimuli might activate the eNOS pathway through their cognate receptors signaling [278].

In our EPO study [attached manuscript 1] we emphasized that EPO is capable to activate Akt and eNOS in the heart and to increase SCs number at first in peripheral blood and secondarily into the myocardium through SDF-1 $\alpha$  upregulation. After binding its receptor (EPOR), EPO is well known to activate several signalling pathways including the P13K/Akt [283] [284]. Possibly, EPO might also act as a proximal stimulus for bone marrow stromal cell and after binding EPOR activate a pathway similar to the one proposed by Aicher *et al.* [279] [282]. On the other hand, EPO could induce additional secreted signals (e.g. VEGF) for BM stromal cells and lead to haematopoietic stem cell release indirectly (Figure 5.5). Further investigation is mandatory to uncover the details of erythropoietin mediated stem cell mobilization and homing [285].



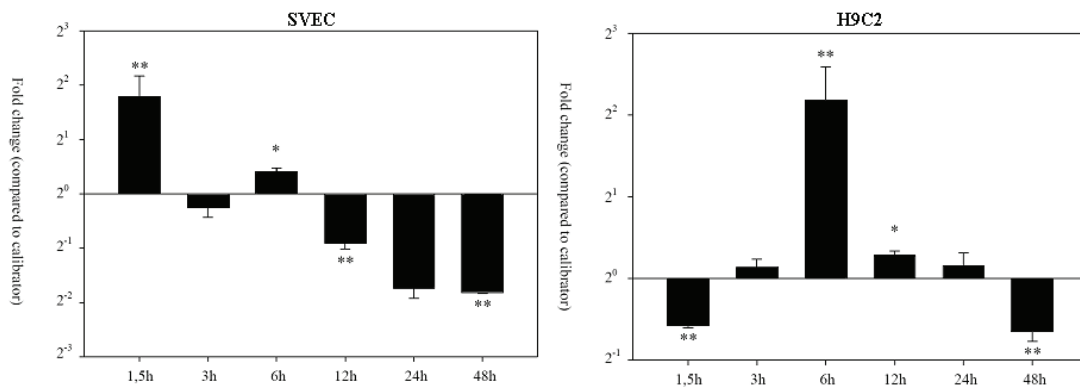
**Figure 5.5.** Our proposed mechanism of stem cell mobilization enhanced by EPO.

#### 5.4. SDF-1 $\alpha$ and CXCR4 axis in the heart

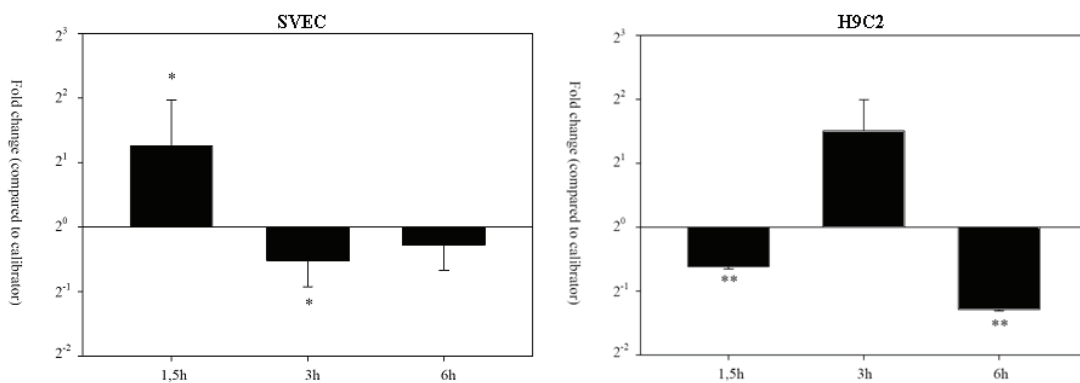
Our study on the effects of erythropoietin administration to the infarcted myocardium uncovered the early kinetics of SDF-1 $\alpha$ /CXCR4 *in vivo* [manuscript 1]. The mRNA levels of SDF-1 $\alpha$ /CXCR4 were investigated in the infarcted area of the myocardium as well as in the remote zone. As expected, the results showed a discrepancy in the expression levels of SDF-1 $\alpha$  and its receptor within the two areas (IZ and NIZ) probably due to the highly different distribution of cell types [manuscript 1]. In fact as discussed in chapter 2, the adverse cardiac remodelling that immediately follows MI creates an alteration in the natural ratio of cardiomyocytes, endothelial cells and myofibroblasts.

In order to further clarify the expression patterns of SDF-1 $\alpha$  and CXCR4 in the specific cell types of the myocardium, we investigated the effects of erythropoietin stimulation on cardiomyoblasts and endothelial cells *in vitro* (mRNA levels by real time PCR) [data not published]. Interestingly, both cell types showed high upregulation of SDF-1 $\alpha$  after EPO stimulus but in the endothelial cell the response was faster (Figure

5.6). The hypoxia stimulus enhanced the velocity of cell reaction in the cardiomyoblast, while endothelial cell showed a kinetic similar to the one of normoxia (Figure 5.7). Remarkably, CXCR4 mRNA level was found to be strongly downregulated in concomitance with SDF-1 $\alpha$  upregulation (in normoxia conditions) suggesting the presence of a possible feedback regulatory mechanism (data not shown). This finding is consistent with the study of Peled *et al.* where high concentration of SDF-1 $\alpha$  have been shown to downregulate the expression of CXCR4 *in vitro* and *in vivo* [286].



**Figure 5.6.** SDF-1 $\alpha$  expression levels in SVEC and H9C2 cell after EPO stimulation *in vitro*. Data are expressed as mean  $\pm$  SEM (\*P<0.05 vs calibrator; \*\*P<0.01 vs calibrator). Calibrator = Line.



**Figure 5.7.** SDF-1 $\alpha$  expression levels in SVEC and H9C2 cell after EPO stimulation *in vitro* under hypoxia conditions. Data are expressed as mean  $\pm$  SEM (\*P<0.05 vs calibrator; \*\*P<0.01 vs calibrator). Calibrator = Line.

With these data we confirmed the direct action of erythropoietin on two predominant cell types of the myocardium. EPO stimulation *in vitro* significantly modulated SDF-1 $\alpha$ /CXCR4 expression in cardiomyoblast and endothelial cell both under normoxia and hypoxia conditions. These findings suggest that in the myocardium EPO regulates SDF-1 $\alpha$ /CXCR4 axis not only by a specific cell type but through the major cell components of the heart. Most likely, the modulation of SDF-1 $\alpha$ /CXCR4 axis mediated by EPO takes place with the synergistic activity of other cardiac cell components such as myofibroblasts and stem cells or infiltrating inflammatory cells.

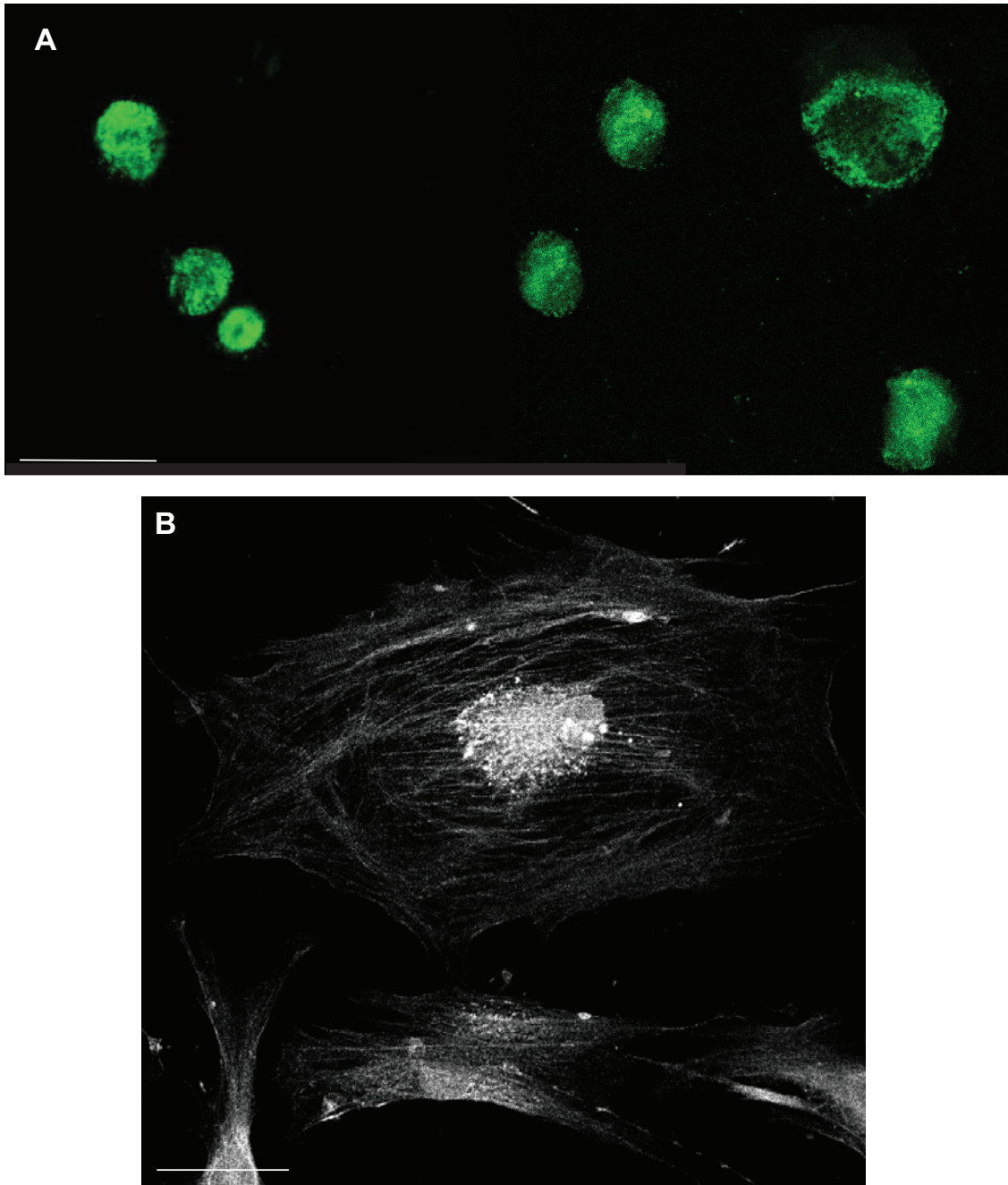
## 6. Stem cell administration route

### 6.1. Intraarterial application

As described in chapter 3, numerous delivery approaches exist for cell-based therapy. In order to achieve the optimal regeneration potential, the desired routes of stem cells delivery should be chosen to be tailored to the character of individual stem cell population, its targeted tissues and its therapeutic purpose. Intraarterial injection of stem cells as one of the commonly executed routes has been used in several preclinical settings [287] [288] [289]. As being one of the most attractive fields for stem cell based therapies, different types of cells as well as MSCs were also used for myocardial regeneration including intracoronary transplantation route [290] [291]. However, only few studies underlined the kinetics of MSCs after intraartery administration [288] [290] [291].

Considering that MSCs size might be much larger than the capillaries size, additional aim of this thesis was to investigate the immediate term behaviours of human adipose derived MSCs when are injected intraarterially in a small animal model of intravital microscopy. We hypothesized that cultured MSCs might be relatively large cells which may not be suitable for intravascular transplantation [attached manuscript 3].

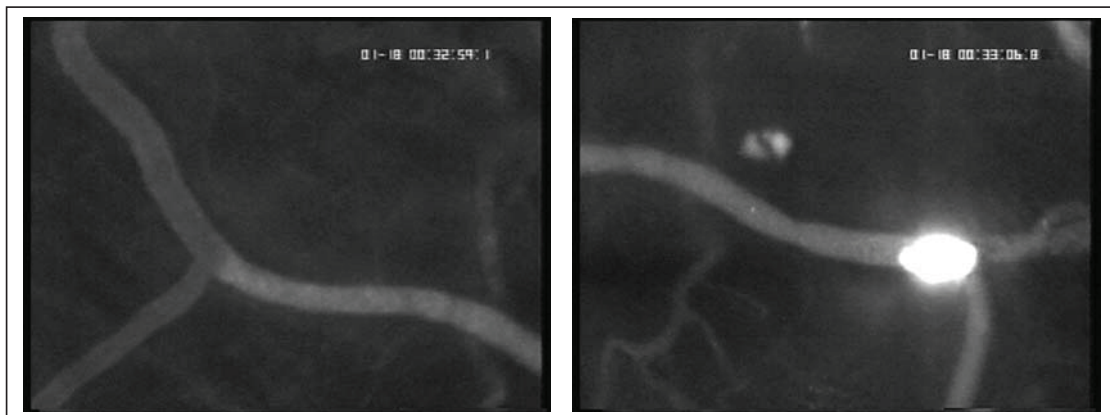
Expanded human MSCs population exhibited typical markers, positive for CD29, CD44, CD73, CD90, and CD105 [data supplement, attached manuscript 3]. The average cell size is ranged between 16  $\mu\text{m}$  and 53  $\mu\text{m}$  in suspension (median=30.5 $\pm$ 8.6, n=117) and between 20.1  $\mu\text{m}$  and 95.9  $\mu\text{m}$  in adhesion (median=47.2 $\pm$ 16.7, n=108) (Figure 6.1), which is in agree with previous report Vulliet *et al.* [291]. However, the diameter of human post-capillary venules range approximately between 10-50  $\mu\text{m}$  and is even smaller in arterioles (8-30  $\mu\text{m}$ ) [292]. Moreover, it is proposed that capillaries size average 8  $\mu\text{m}$  in diameter [292] [293] [294] [295] [296], a dimension definitely smaller than MSCs regular diameter. MSCs have shown to be in general larger in dimension than capillaries and precapillary of the mouse cremaster when compared in vivo with precapillary vessel (both arterial and vein) diameter [attached manuscript 3].



**Figure 6.1.** MSCs size detected by confocal microscope. (A) Size patterning of MSCs in suspension. (B) Adherent MSCs dimensions. Scale bars = 50  $\mu$ m.

Our intravital microscopy study revealed the relative large size of MSCs might influence the intravascular activity in SCID mouse. After MSCs injection blood velocity significantly reduced in a cell density dependent manner until the microcirculation stopped.

In several animals the blood flow was stopped and it was not possible to perform more than one injection due to vascular occlusion and subsequent animal death. Capillaries with MSCs arrested were found. Thrombus formation was detected in arterioles and venules of the living animals due to MSCs obstructing the circulation (Figure 6.2). In some animals arterial radius (luminal dimension) was changed followed thrombosis events. Entrapments were also detectable in the lungs.



**Figure 6.2.** MSCs labeled with carboxy-fluorescein diacetate, succinimidyl ester (CFDA SE) impede microcirculation. Thrombus formation in arteriole.

Our findings are consistent with the results of other groups that demonstrated systemic delivery of bone marrow derived MSCs was limited by the entrapment of cells mainly in the lungs [297] as well as liver and spleen [266]. Barbash *et al.* explained the high pulmonary entrapment of systemically infused cells due to their large size [297]. In the study of Walczak *et al.*, the measured MSCs size ranged between 20-50  $\mu\text{m}$  and although cells were able to bypass the endothelial barrier, entrapment in vasculature was found in 17% of the animals indicating clear risk of vascular occlusion [288]. In the study of Vulliamis *et al.*,  $0.5 \times 10^6$  cells per body kg were enough to cause myocardial infarction, even in healthy vasculature [291]. Briefly, evidence suggests that the intracoronary delivery of MSCs might cause microvascular plugging and consequent no-reflow phenomena with high probability [290]. In our study, delivery of amount of injected cells was in limits in concordance with the doses used in the literature [290]

[291] [266]. To our knowledge, the present study is the first kinetic investigation of the potential adverse behavior of MSCs in the vasculature with the intravital microscopy.

The findings of reduced blood flow by angiography as well as the evidence of microvascular plugging should alert clinicians to a potential limitation of systemic or intraarterial delivery of MSCs. Following intraarterial transplantation, cells causing embolism in mouse might with a high probability lead to the same sequence of events in clinical setting. Smaller cells are able to return through muscular venules; however some of them may be entrapped in the lungs and lead to pulmonary embolism, and other undesirable consequences [attached manuscript 3].

## 6.2. Intravenous infusion

Intravenous infusion has been used in a number of experimental models where delivery of EPCs or MSCs has been shown to improve cardiac function after acute MI [234] [298] [299]. However, homing of cells to organs other than the heart reduces the clinical applicability of this approach [297] [300]. Indeed, it has been shown in a study of post acute MI patients that BMMN cells homing was only achieved after intracoronary stop-flow delivery (transient balloon inflations to maximize the contact time of the cells with the microcirculation of the infarct-related artery) but not after intravenous application [301].

## 6.3. Direct injection in the ventricular wall

Direct administration of cells into the ventricular wall might be the preferable route in case the patients present an occluded coronary artery which precludes transvascular cell delivery (patients with chronic myocardial ischemia) or when cell homing signals are not expressed at sufficient levels because of diffuse fibrosis. However, direct injection of cells into injured myocardium could create groups of isolated cells with inadequate blood supply with consequent poor cell survival [302]. The direct administration method could be suitable for the application of large cells, such as MSCs or myoblasts, which may lead to microembolization after intracoronary injection [8].



## 7. Safety of stem cell treatments

### 7.1. How to control a highly potent cell?

The novel discovery of somatic cell reprogramming into a pluripotent cell by a relatively simple genetic manipulation [179] and the growing knowledge about the closed relations which exist between stem cell and cancer [303] [304] suggest that the barriers between: a differentiated cell, a stem cell and a cancer cell might be rather easy to be overcome. In numerous studies, it has been uncovered that stem cells could give origin to cancer [132] [157] [158] [159] [167] therefore precautions to assure the safety of stem cell treatments must be taken in serious consideration. Rigorous protocols for the cell manipulation, quality controls before transplantation and long-term side effect evaluation are highly needed to ensure the security of stem cell therapy [attached manuscript 4].

### 7.2. Mesenchymal stem cell and cancer

As said in chapter 3, mesenchymal stem cells are self-renewing, clonal precursors of non-hematopoietic stromal tissues [102] [159] [305] [306]. Their excellent proliferation capacity makes culture expansion of MSCs an attractive strategy to generate large number of cells for autologous stem cell therapy [152] [307]. However, MSCs expansion may accumulate the deleterious mutations, resulting in spontaneous immortalization and malignant transformation. Indeed, the spontaneous transformation of MSCs after expansion culture is reported in adipose tissue-derived human MSCs [157] and bone marrow-derived mouse MSCs [158] [159] [160] [161]. The transformed MSCs are associated with phenotypic and genotypic alterations, including rapid cell proliferation and loss of contact inhibition, accumulated chromosomal instability, gradual elevation of telomerase activity and enhanced c-myc gene expression.

Further aim of the thesis was to report that rat bone marrow-derived MSCs could undergo spontaneous transformation in early passage culture. The therapeutic effects of transformed MSCs on the cardiac function were investigated in a rat left anterior descending (LAD) ligation model after intracardiac injections [attached manuscript 4].

Our study demonstrated that MSCs isolated from bone marrow of Lewis rats according to standard protocols and cultured under standard conditions may undergo

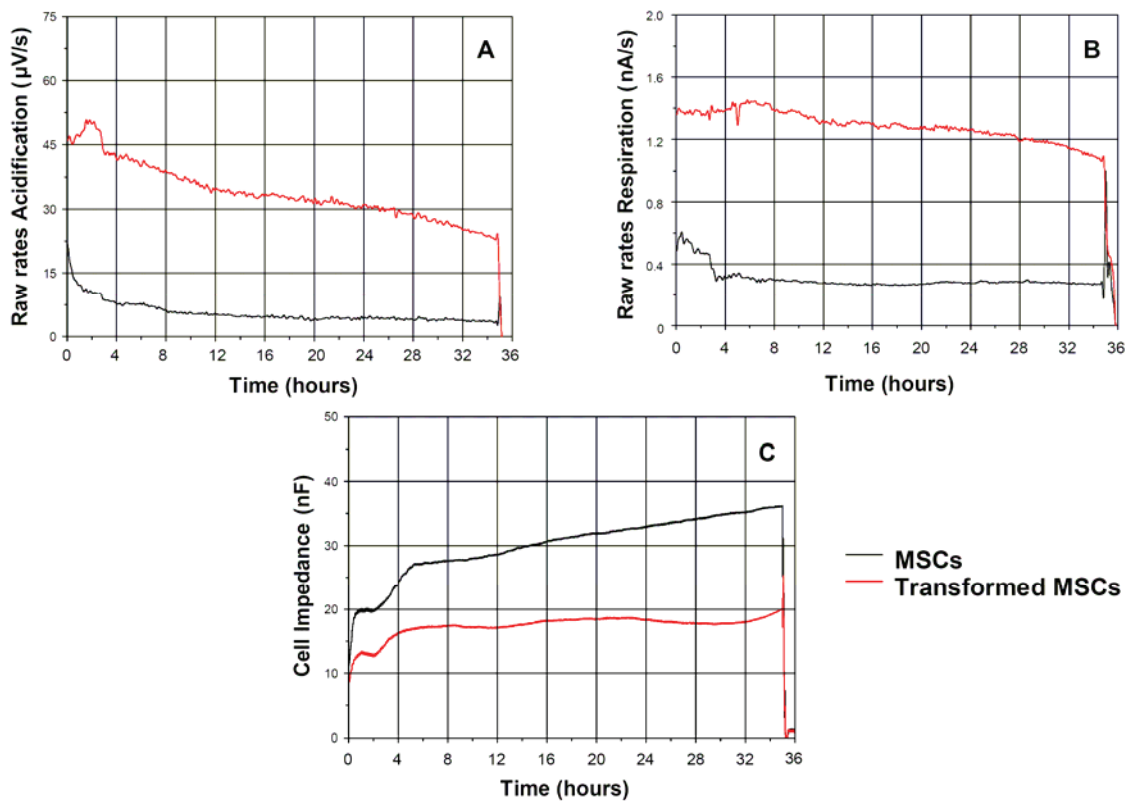
spontaneous transformation even at early passage. Inheritable changes in cells were observed, as manifested by changes in chromosome number and alterations in metabolic features (Figure 7.1) and cell surface properties. These results were observed independently by three researchers working two years apart. Therefore, the idiosyncratic effects of a particular lot of serum in culture medium as the possible reason to induce the transformation can be excluded.

The resulting clones showed chromosomal instability by passage 3 and lost some characteristics of the phenotype of MSCs. We observed the wide variation in chromosome number, which indicate chromosome instability and may contribute to cancer initiation (Figure 7.2). Consistently, the analysis of their metabolism detected an atypical increased rate of proliferation. It is still not clear whether the increase of chromosome number occurred is due to cell fusion or chromosome replication. In fact, there are conflicting evidences: the fusion of mouse bone marrow-derived cells with host cells [308] [309] [310] and the fusion of human MSCs with co-cultured epithelial cells [311] were reported; Zhou *et al.* did not detect the fusion [161]. This issue needs to be further addressed.

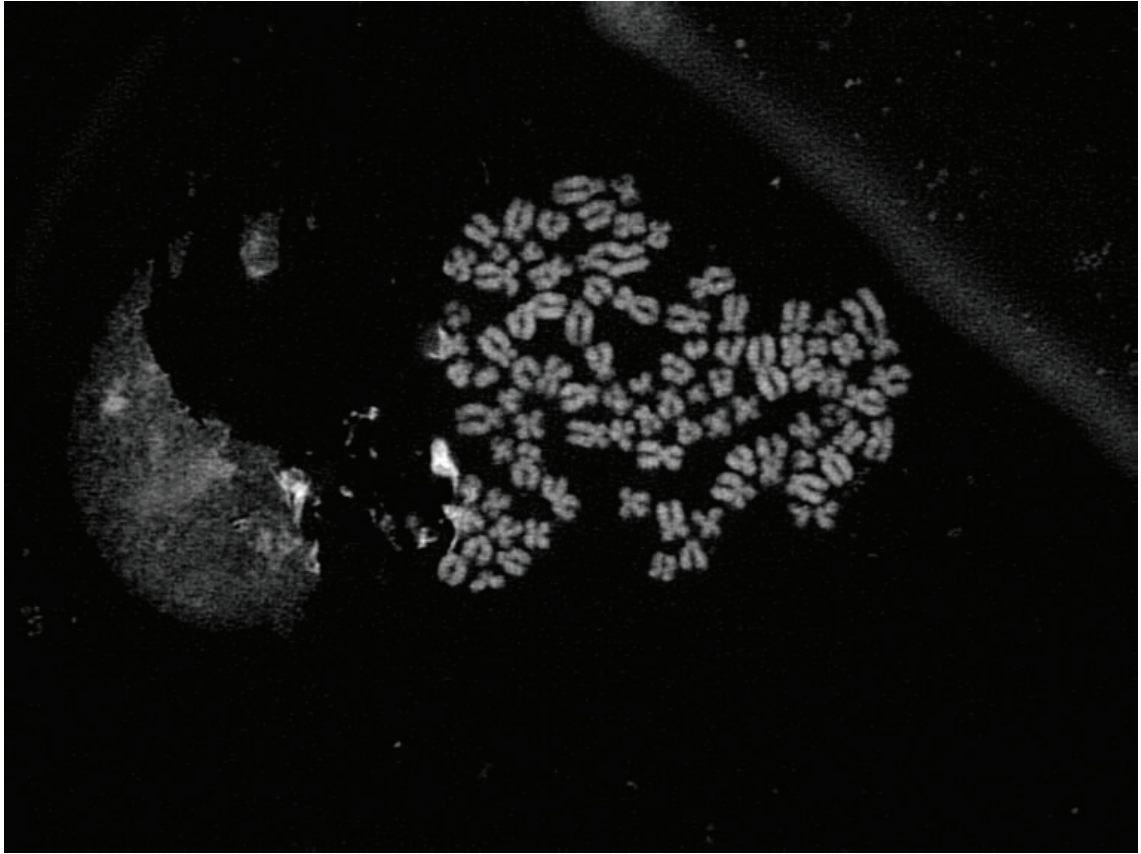
Zhou *et al.* [161], Tolar *et al.* [160] and Aguilar *et al.* [158] found bone marrow derived mouse MSCs showed cytogenetic aberrations after several passages in vitro culture. We also found that rat MSCs showed chromosomal instability after 3 passages. However, in our study, no sarcomas were detected 4 weeks after intravenous infusion of transformed rat MSCs into nude mice. This is different from the reports that 3 weeks after intravenous infusion of transformed mouse MSCs induced malignant sarcomas in immunodeficient mice [158] [159] [160] [161]. Recent study from Li *et al.* [312] could be one of the explanations for this discrepancy, which suggested that transformed MSCs might restore a non-malignant phenotype after fusion with host cells. It is important to further address how the cytogenetic aberrations acquired in culture correlate with the tumor initiation and progression in vivo.

We also noted several recent reports indicating that the spontaneous transformation of MSCs could occur on both bone marrow derived mouse MSCs and adipose tissue-derived human MSCs [157] [159] [161]. Hence, this phenomenon cannot be regarded as a casual phenomenon. The exploration of genetic alterations and molecular mechanisms underlying the transformation may shed a new light on regulating the process of *ex vivo* expansion of MSCs, which ensures their sustainable propagation without alterations in their genetic traits and functional degeneration.

The clinical importance of this study is related to the clinical trials that administrate human MSCs to the patients with ischemic cardiovascular diseases for regenerating cardiac functions. The present study showed that the transplantation of transformed MSCs into the infarcted hearts (Figure 7.3) revealed no cardiac function improvement as characterized by infarct size, ejection fraction, cardiac output, stroke work, stroke volume from left ventricles of the rats. Further, *ex vivo* expansion of human MSCs may induce transformation and increase the risk of cancer formation after transplantation.



**Figure 7.1.** Detected signals of metabolic activities by Bionas®2500 analyzing system. (A) Acidification activity. (B) Oxygen consumption. (C) Non-standardized rates of cell impedance.

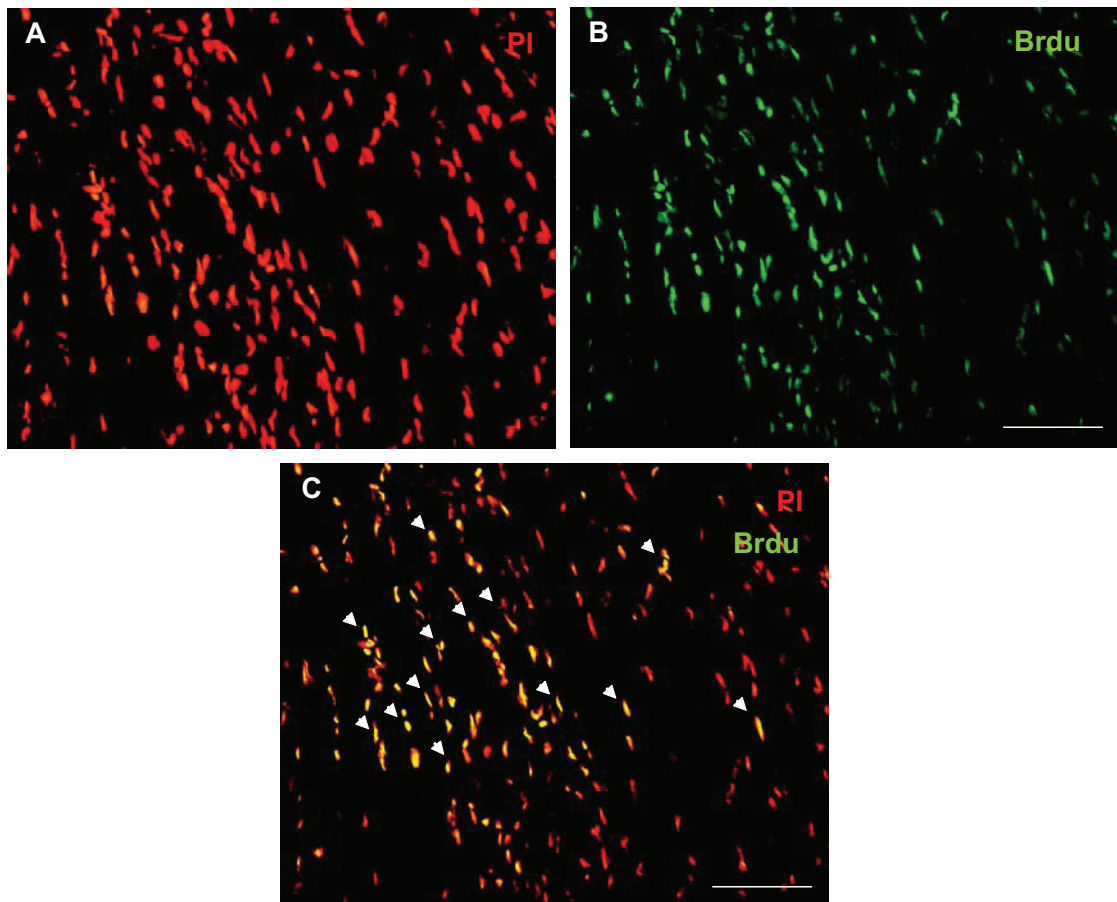


**Figure 7.2.** Chromosome number analysis of abnormal rat MSCs.

### 7.3. Mesenchymal stem cells quality control

The present study strengthens the established notion that standardized protocol for phenotypic and genotypic characterization of MSCs expanded *ex vivo* will be indispensable for the efficacy and safety considerations in clinical applications [313] [314]. Hence, systematic characterization, standardized, rigorously tested protocol and quality controls are highly valued.

In summary, we have confirmed that expansion culture of bone marrow derived rat MSCs may induce their immortalization and spontaneous transformation. The transplantation of transformed MSCs into infarcted hearts has no therapeutic effect on the cardiac functional improvement. Development of Good Manufacturing Practice (GMP) compliant culture conditions for MSCs will be of primary importance.



**Figure 7.3.** Immunohistological staining of infarcted rat myocardium following MSCs injection using monoclonal antibodies against BrdU. (A, B) Six weeks after transplantation, sections near the infarct zone were double-stained for nuclei (PI staining) and BrdU (A: Alexa-488 labeled). (C) Merged image of double staining of sections for BrdU and nuclei. Scale bars: 200  $\mu$ m.

## 8. Conclusion

In the present thesis, we provided novel information to the field of stem cell cardiac therapy underlining new possible application in the clinical setting. We suggested EPO application during coronary interventions or cardiac surgery might be a highly favourable treatment to promote early cardiac protection and stem cell activation. Our findings have evident translational implications for the handling of acute coronary syndrome.

In addition, we persist alerting the scientific community on the potential risks of the *ex vivo* manipulation of mesenchymal stem cell. Our experience demonstrates that MSCs therapy efficiency for myocardial infarction could be critically compromised by the appearance of cell immortalization and spontaneous transformation after culture. Thus, systematic cell characterization, standardized, rigorously tested isolation and culture protocol and quality controls are indispensable practices for stem cell transplantation approach.

Our intravital microscopy study on mesenchymal stem cell kinetics after transplantation warns clinicians about the concrete limit of systemic or intra-arterial delivery of MSCs.

The ultimate translation of stem cell technologies into clinical practice will necessitate of profound understanding of the pro and contra which characterize stem cell based therapies. Most likely, the standardized clinical practice will not only be based on the knowledge of which is the best stem cell type (combined with its optimal transplantation route to treat a specific targeted disease) but also on the knowhow of the barriers that prevent cardiac regeneration.

Stem cell treatment for myocardial infarction will be probably accompanied by additional drugs (possibly derived from the uncovering of the stem cell secretion patterns or from well known medicines like EPO) to overcome hurdles caused by the hostile environment of wounded tissue (inflammation, inadequate angiogenesis and fibrosis).

Until now, clinical trials have been focused on the use of cell types which are easy to be isolated (e.g. bone marrow mononuclear cells and endothelial progenitors) but perhaps these cell types do not represent the best population committed to cardiac regeneration. Additional understanding of cardiomyocyte development and turnover (in

natural conditions and after injury) will be a necessary step forward for the establishment of stem cell based therapies.

Finally, an accurate evaluation of the safety of stem cell based treatments with the development of standardized, well characterized cell type isolation and manipulation without adverse tumorigenesis will be essential.

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## 11. Publications list

1:

**Furlani D**, Li W, Pittermann E, Klopsch C, Wang L, Knopp A, Jungebluth P, Thedinga E, Havenstein C, Westien I, Ugurlucan M, Li RK, Ma N, Steinhoff G. A transplanted cell population derived from cultured mesenchymal stem cells has no functional effect after transplantation into the injured heart. *Cell Transplant.* 2009;18(3):319-31. PMID: 19558780 [PubMed - indexed for MEDLINE].

2:

Yerebakan C, Sandica E, Prietz S, Klopsch C, Ugurlucan M, Kaminski A, Abdija S, Lorenzen B, Boltze J, Nitzsche B, Egger D, Barten M, **Furlani D**, Ma N, Vollmar B, Liebold A, Steinhoff G. Autologous umbilical cord blood mononuclear cell transplantation preserves right ventricular function in a novel model of chronic right ventricular volume overload. *Cell Transplant.* 2009 Apr 9. pii: CT-2015. [Epub ahead of print] PMID: 19500473 [PubMed - as supplied by publisher].

3:

Klopsch C\*, **Furlani D**\*, Gäbel R, Li W, Pittermann E, Ugurlucan M, Kundt G, Zingler C, Titze U, Wang W, Ong LL, Wagner K, Li RK, Ma N, Steinhoff G. Intracardiac injection of erythropoietin induces stem cell recruitment and improves cardiac functions in a rat myocardial infarction model. *J Cell Mol Med.* 2009;13(4):664-79. PMID: 19449462 [PubMed - indexed for MEDLINE]. \*Equally contributed.

4:

Wang W, Li W, Ong LL, Lutzow K, Lendlein A, **Furlani D**, Gabel R, Kong D, Wang J, Li RK, Steinhoff G, Ma N. Localized and sustained SDF-1 gene release mediated by fibronectin films: A potential method for recruiting stem cells. *Int J Artif Organs.* 2009;32(3):141-9. PMID: 19440989 [PubMed - in process].

5:

Wang W, Li W, Ong LL, **Furlani D**, Kaminski A, Liebold A, Lutzow K, Lendlein A, Wang J, Li RK, Steinhoff G, Ma N. Localized SDF-1 $\alpha$  gene release mediated by collagen substrate induces CD117<sup>+</sup> stem cell homing. *J Cell Mol Med.* 2008 Dec 24. [Epub ahead of print] PMID: 19413887 [PubMed - as supplied by publisher].

6:

Gäbel R, Klopsch C, **Furlani D**, Yerebakan C, Li W, Ugurlucan M, Ma N, Steinhoff G. Single high-dose intramyocardial administration of erythropoietin promotes early intracardiac proliferation, proves safety and restores cardiac performance after myocardial infarction in rats. *Interact Cardiovasc Thorac Surg.* 2009;9(1):20-5; discussion 25. Epub 2009 Apr 20. PMID: 19380336 [PubMed - in process].

7:

**Furlani D**, Francesco Ficetola G, Colombo G, Ugurlucan M, De Bernardi F. Deforestation and the structure of frog communities in the Humedal de Terraba-Sierpe, Costa Rica. *Zoolog Sci.* 2009;26(3):197-202. PMID: 19341340 [PubMed - in process].

**8:**

**Furlani D**, Ugurlucan M, Ong L, Bieback K, Pittermann E, Westien I, Wang W, Yerebakan C, Li W, Gäbel R, Li RK, Vollmar B, Steinhoff G, Ma N. Is the intravascular administration of mesenchymal stem cells safe? Mesenchymal stem cells and intravital microscopy. *Microvasc Res.* 2009;77(3):370-6. Epub 2009 Feb 26. PMID: 19249320 [PubMed - indexed for MEDLINE].

**9:**

Ugurlucan M, Yerebakan C, **Furlani D**, Ma N, Steinhoff G. Cell sources for cardiovascular tissue regeneration and engineering. *Thorac Cardiovasc Surg.* 2009;57(2):63-73. Epub 2009 Feb 24. Review. PMID: 19241306 [PubMed - indexed for MEDLINE].

**10:**

Ugurlucan M, **Furlani D**, Klopsch C, Steinhoff G. eComment: left ventricular catheterization for pressure-volume measurements in small laboratory animals. *Interact Cardiovasc Thorac Surg.* 2008;7(5):927; discussion 927. No abstract available. PMID: 18801819 [PubMed - indexed for MEDLINE].

**11:**

**Furlani D**, Klopsch C, Gäbel R, Ugurlucan M, Pittermann E, Klee D, Wagner K, Li W, Wang W, Ong LL, Nizze H, Titze U, Lützwow K, Lendlein A, Steinhoff G, Ma N. Intracardiac erythropoietin injection reveals antiinflammatory potential and improved cardiac functions detected by Forced Swim Test. *Transplant Proc.* 2008;40(4):962-6. PMID: 18555090 [PubMed - indexed for MEDLINE].

**12:**

Kaminski A, Ma N, Donndorf P, Lindenblatt N, Feldmeier G, Ong LL, **Furlani D**, Skrabal CA, Liebold A, Vollmar B, Steinhoff G. Endothelial NOS is required for SDF-1alpha/CXCR4-mediated peripheral endothelial adhesion of c-kit+ bone marrow stem cells. *Lab Invest.* 2008;88(1):58-69. Epub 2007 Nov 26. PMID: 18040270 [PubMed - indexed for MEDLINE].

**13:**

Ficetola, G.F., **Furlani D**, Colombo, G., De Bernardi, F. Assessing the value of secondary forest for Amphibians: *Eleutherodactylus* frogs in a gradient of forest alteration. *Biodiversity and Conservation.* 2008 17, 2185-2195.

**14:**

Li W, Ma N, Ong LL, Nesselmann C, Klopsch C, Ladilov Y, **Furlani D**, Piechaczek C, Moebius JM, Lützwow K, Lendlein A, Stamm C, Li RK, Steinhoff G. Bcl-2 engineered MSCs inhibited apoptosis and improved heart function. *Stem Cells.* 2007;25(8):2118-27. Epub 2007 May 3. PMID: 17478584 [PubMed - indexed for MEDLINE].

## 12. Abstract presentations and conferences

1:

**Furlani D**, Gäbel R, Ong LL, Li W, Steinhoff G, Ma N. High-mobility group protein box 1 (HMGB1) induces cytoskeleton reorganization in mouse bone marrow c-Kit<sup>+</sup> cells. Annual meeting of German Society for Stem Cell Research, November 2006. Köln, Germany.

2:

Ma N, Li W, **Furlani D**, Ong LL, Luetzow K, Klopsch C, Liebold A, Lendlein A, Steinhoff G. A transformed cell population derived from long term cultured mesenchymal stem cells has no functional effect after transplantation into the injured heart. Annual meeting of the American Society of Gene Therapy, May 30 – June 3, 2007. Seattle, Washington, USA.

3:

Klopsch C, **Furlani D**, Gäbel R, Wagner K, Wang W, Ong LL, Li W, Nizze H, Titze U, Lendlein A, Lützwow K, Li RK, Ma N, Steinhoff G. Intracardiac injection of Epoetin- $\alpha$  upregulates stem cell chemoattractant gene expression in a rat myocardial infarction model. Rostocker Gespräche über kardiovaskuläre Funktion und Hypertonie, June 9, 2007. Rostock, Germany.

4:

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**Furlani D**, Li W, Wang L, Donndorf P, Jungebluth P, Klopsch C, Delyagina E, Westien I, Lützow K, Lendlein A, Li RK, Steinhoff G, Ma N. Abnormal rat mesenchymal stem cells do not improve the cardiac function of the infarcted heart. 7<sup>th</sup> Annual Meeting of the International Society for Stem Cell Research, ISSCR, July 8 – 11, 2009. Barcelona, Spain.

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## **14. Manuscript reprints**

## Manuscript 1

### Intracardiac Injection of Erythropoietin Induces Stem Cell Recruitment and Improves Cardiac Functions in a Rat Myocardial Infarction Model

Klopsch C\*, **Furlani D\***, Gäbel R, Wagner K, Li W, Ugurlucan M, Kundt G, Zingler C, Titze U, Wang W, Ong LL, Li R, Ma N, Steinhoff G

*J Cell Mol Med.* 2009 Apr;13(4):664-79

\*Equal contribution

## Manuscript 2

Intracardiac erythropoietin injection reveals antiinflammatory potential and improved cardiac functions detected by Forced Swim Test

**Furlani D**, Klopsch C, Gäbel R, Ugurlucan M, Pittermann E, Klee D, Wagner K, Li W, Wang W, Ong LL, Nizze H, Titze U, Lutzow K, Lendlein A, Steinhoff G, Ma N

*Transplant Proc.* 2008;40:962-6

Available at: <http://www.ncbi.nlm.nih.gov/pubmed/19249320>

## Manuscript 3

Is the intravascular administration of mesenchymal stem cells safe?

**Furlani D**, Ugurlucan M, Ong LL, Bieback K, Pittermann E, Westien I, Wang W,  
Yerebakan C, Li W, Gäbel R, Li R-K, Vollmar B, Steinhoff G, Ma N

*Microvascular Research*. 2009 May;77(3):370-6

## Manuscript 4

A transformed cell population derived from cultured mesenchymal stem cells has no functional effect after transplantation into the injured heart

**Furlani D**, Li W, Pittermann E, Klopsch C, Wang L, Knopp A, Jungebluth P, Thedinga E, Havenstein C, Westien I, Ugurlucan M, Li R, Ma N, Steinhoff G

*Cell Transplantation*. 2009;18(3):319-31



